SEP 10 2024 PM12:19

REFERENCE SERVICE SLIP
Archival Research Room Record Request
National Archives at St. Louis

Date: 9/9/2024

REQUESTER INFORMATION

Name (Please Print – Last, First, Middle)	Researcher ID Card Number
Anderson, Kraig	
E-Mail Address:	**Phone Number:**
kraiga@gmail.com	858-357-5100

INFORMATION NEEDED TO LOCATE RECORDS (Furnish as much as possible)

Name used in Service (Please Print – Last, First, Middle)	Service Number/SSN	Date & Place of Birth
Upton, Joseph, H.		1869 ME

MILITARY SERVICE

Branch of Service:
- ☐ Air Force
- ☐ Army Air Corps
- ☐ Army Air Force
- ☐ Army
- ☐ Marine Corps
- ☐ Navy
- ☐ Coast Guard
- ☐ Unknown

Type of Rank:
- ☐ Enlisted
- ☐ Officer
- ☐ Unknown

Service Period (Check all that apply):
- ☐ 1939 & Prior
- ☐ 1940 - 1950
- ☐ 1950 & After

Date & Place of Death: 1934 ME

State of Residence (upon entry into service):

Other Identifying Information (Parent's Names, CSC Number, etc.)

Researcher Signature: Kraig Anderson

CIVILIAN SERVICE

(Agency & Location)		
Lighthouse Service (ME)		
From: 1911	To: 1934	
(Agency & Location)		
From:	To:	
(Agency & Location)		
From:	To:	

Other Identifying Information (Maiden Name, Job Title, etc.)

This Space Intentionally Left Blank

STAFF USE ONLY

Appointment Time and Date:

Additional Researchers: ☐ Yes ☐ No two

CMRS Service Request Number: 2-301104440 71

Attendant Signature: Folded

CMRS Search Request Number(s):

Remarks: Submitted to Arch Search 10/11/24 KSA Soundex V135

NOV 6 PM 2:34:42

SCREENING NOTES

- ☐ Reviewed and Nothing Removed
- ☐ Items Removed and User Informed
- Hold Requested: ☐ Yes ☐ No
- Total Requests:
- Screened Items:
- ☐ Additional charge outs and signatures on reverse side
- Time Out:
- Final Return:

1/2

LIGHTHOUSE SERVICE/LIFESAVING SERVICE—RECORDS CHECKED

Employee Name: UPTON, JOSEPH H. **DOB:** 1/1/1869, ME

SOUNDEX: U135

Records checked:

Agency: Commerce 6028 Area: Bay 10, Lower Catwalk positive negative

Agency: Commerce 6029 Area: Bay 10, Lower Catwalk positive negative

Agency: Old Commerce Area: Bay 10, Lower Catwalk positive negative

AND

Agency: Treasury 2189 Area: Bay 13, Lower Catwalk positive negative

Agency: Treasury 2188 Area: Bay 13, Lower Catwalk positive negative

Agency: Old Treasury Area: Bay 13, Lower Catwalk positive negative

If Negative:

Agency: Treasury SRCs series 1 Area: Bay 13, Lower Catwalk positive negative

Agency: Treasury SRCs series 2 Area: Bay 13, Lower Catwalk positive negative

Agency: Treasury SRCs series 3 Area: Bay 13, Lower Catwalk positive negative

Agency: Coast Guard SRCs Area: Bay 13, Lower Catwalk positive negative

If Negative:

CMRS: _____ Area: _____ positive negative

ARCIS: _____ Area: _____ positive negative

Notes: DOS: 1911 TO 1934; DOD: 1/1/1934, ME; LIGHTHOUSE SERVICE, ME

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION

Joseph H. Upton (Name) — Me (Legal Residence)

STATUS

11—8040

DESIGNATION	ACTION	SALARY	BUREAU—SERVICE—STATION	DATE OF EFFECT
3rd Asst Kpr.	Prob.	$1456	Lighthouses - Matinicus Rock Me	May 5, 1911
2d. " "	Prom	480	" "	Sept. 1, 1911
3 Asst. Kpr.	Transfer	456	" Cape Elizabeth. Me.	May 1, 1912
Keeper	"	720	" Isles of Shoals - N.H.	Apr. 8, 1915
"	"	804	" " "	Jan. 1, 1916
Keeper	"	1080	" " "	Nov 1, 1918.
"	Read. of Pay	1320	" " "	July 1, 1924
"	Act. 12/6/24	1560	" " "	Feb. 1, 1925
"	Trans-Demo.	1440	Cape Elizabeth L.S.	May 18, 1926.
"		1500	" " " "	JAN 1 1927
"	COMPENSATION Act. May 28, 1928.	1560	" " " "	JUL 1 1928
"	COMPENSATION Act. July 3, 1930	1620	" " " "	JUL 3 1930
		Died Jan. 14, 1934		

Form 93 LIGHTHOUSES

NOTICE OF ~~RESIGNATION OR~~ DEATH

GFL:CP

1934 JAN 17 AM 9:16

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

OFFICE OF SUPERINTENDENT OF LIGHTHOUSES, First DISTRICT,

Portland, Maine, January 15, 1934. , 19

DEPARTMENT OF COMMERCE JAN 24 1934 APPOINTMENT DIVISION

1934 JAN 23 PM 2:29 DEPT. OF COMMERCE CHIEF CLERK

COMMISSIONER OF LIGHTHOUSES:

Herewith is transmitted notice of death of the following-named employee:

Name, Joseph H Upton Position, Keeper

Legal residence, Maine Station, Cape Elizabeth LH., Me.

Salary, $1620 per annum

~~The acceptance of this resignation is recommended effective at the close of~~

This employee has not taken leave in excess of the accrued rate.

Remarks: Death occurred at about 5 a.m., Sunday, January 14, 1934. Mr. Upton had gone to install the Aladdin auxiliary light in tower upon failure of commercial electric current at about 9.30 p.m., Saturday, January 13, and when he had not returned at 11.30 p.m., Mrs. Upton went to see what what the trouble, and found his body. He had evidently fallen down the stairs and suffered a fracture of the skull. He died without having regained consciousness. Further report will be submitted when more details are learned.

Next of kin: Mrs. Mabelle S Upton, widow, Cape Elizabeth LH., R. F. D., South Portland, Maine.

For and in the absence of the Superintendent:

Appr 22 years Service

LAURITZEN
Chief Clerk

BMB 1/24/34

CARDED

BUREAU OF LIGHTHOUSES
WASHINGTON

JAN 23 1934

Submitted to the Secretary of Commerce,

11—4623

APPOINTMENT DIVISION
ENTERED ON JOURNAL
FEB - 3 1934
SHEET NO. 4460
DEPARTMENT OF COMMERCE

Submitted to Secretary of Commerce for his information.

For the Commissioner,

Chief, Personnel Division

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

July 3, 1930.

Joseph H. Upton

Through the Commissioner of Lighthouses.

Sir:

You are advised that under the Brookhart Act your compensation has been changed as indicated below, effective July 3, 1930.

By direction of the Secretary:

Respectfully,

EDW. J. GARDNER,

Chief of Appointment Division.

APPOINTMENT DIVISION ENTERED AUG 9 1930 ON JOURNAL DEPARTMENT OF COMMERCE

CARDED

From: 1560

To: 1620

1st Keeper.

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

July 1, 1928.

Mr. Joseph H. Upton,

Through the Chief, Bureau of Lighthouses.

Sir:

You are advised that under the Welch Act your compensation has been changed as indicated below, effective July 1, 1928.

By direction of the Secretary:

Respectfully,

EDW. J. GARDNER,

Chief of Appointment Division.

From: $1500

To: $1560

11—9564

1

GARDNER

JUL 2

DEPARTMENT OF COMMERCE

Form A-38

DEPARTMENT OF COMMERCE

APPOINTMENT DIVISION

WASHINGTON

January 1, 1927.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You are advised that your compensation has been changed as indicated below, effective January 1, 1927.

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary.

Respectfully,

JAN 12 1927

CLIFFORD HASTINGS

Chief of Appointment Division.

From: $1440 per annum.

To: $1500 per annum.

Service and grade: Field.

Appropriation (unit):

APPOINTMENT DIVISION ENTERED JAN 12 1927 ON JOURNAL DEPARTMENT OF COMMERCE

CANCELED

F
1

APPROVED BY THE ACTING SECRETARY 1/12/27

FILE 10937/11

GOVERNMENT PRINTING OFFICE 11—9061

ADDRESS ALL COMMUNICATIONS TO
SUPERINTENDENT OF LIGHTHOUSES
PORTLAND, ME.

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

DEPARTMENT OF COMMERCE
MAY 22 26
APPOINTMENT DIVISION

OFFICE OF SUPERINTENDENT, 1ST DISTRICT
PORTLAND, ME.

CP

LIGHTHOUSES
1926 MAY 21 PM 2 29

May 19, 1926

1926 MAY 22 PM 2 57
CHIEF CLERK

Commissioner of Lighthouses:

1. Referring to Department's "Notice of Change in Salary," dated May 1, 1926, in the case of Joseph H. Upton, Keeper, this district, whereby his salary was changed from $1560 per annum to $1440 per annum:

2. Effective date of above change in salary is May 18, 1926.

Sherman

SHERMAN
Superintendent

Bureau of Lighthouses, Washington
MAY 22 1926

Submitted to Secretary of Commerce for his information

eff. date

J. Cunningham
Acting Commissioner of Lighthouses.

C. S. REPORT DATA
ENTERED

Form A-21

NOTICE OF CHANGE IN SALARY
KEEPERS—LIGHTHOUSE SERVICE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

May 1, 1926.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You are advised that your salary as keeper in the Lighthouse Service has been changed from $ 1560 per annum to $ 1440 per annum, effective May 16, 1926, or as soon thereafter as you enter on duty.

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary:

Respectfully,

(SIGNED) CLIFFORD HASTINGS

Chief of Appointment Division.

11—8074 GOVERNMENT PRINTING OFFICE

MAY 6 1926

CARDED

APPOINTMENT DIVISION ENTERED MAY 6 1926 ON JOURNAL DEPARTMENT OF COMMERCE

P.
1.

CROSS REFERENCE

SEE FILE--464/16/D#1

NAME Upton, Joseph H. POSITION Keeper

BUREAU BUREAU OF LIGHTHOUSES STATION 1st Dist.

CIRCULAR #11----9/15/23

BASE PAY	Bonus	Total	Allowances Items	Allowances Value	Allowances Aggregate	As Classified for estimate purposes Service	Grade	Pay	Recommendations regarding differential from standard rates: Is differential recommended	Amt. per annum to be Added	Amt. per annum to be Subtracted
1080	240	1320	XCR	404.25	1724.25	LHS	7	1400	yes	120	

CIRCULAR #13----11/13/23

approv - 1500

GRADE RECOMMENDED 6

RANGE OF COMPENSATION RECOMMENDED FROM 1380 TO 1620

$164.25 (45¢ per day commutation of rations). Note: Quarters incls. self & family.

16. Indicate what special equipment, if any, the employee is required by law or regulation to furnish at his own expense, and the approximate annual maintenance cost to the employee:

Kind of equipment Uniform; annual cost, $20.00 (estimate)

17. Extent of employee's education. (Place an "X" in the box before the highest grade or year.)

(a) Elementary school; years ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☒ 7 ☐ 8

(b) High school; years ☒ 1 ☐ 2 ☐ 3 ☐ 4

(c) Business school; years ☐ ½ ☐ 1 ☐ 1½ ☐ 2 ☐ 2½ ☐ 3

(d) College: Name ; years ☐ 1 ☐ 2 ☐ 3 ☐ 4

(e) Post graduate work: Kind ; years ☐ 1 ☐ 2 ☐ 3

(f) Correspondence courses: Kind ; number of lessons ; number completed

18. Description of work. (Describe the various tasks performed, making the description of each as detailed as space will permit. Use a paragraph for each task and number the paragraphs. In the column at the right state the estimated percentage of the total time—in the course of a day, month, or year—given to each task.)

Percentage of total time given to each task

1. Care and operation of a 2nd order Incandescent Oil Vapor Light and lens revolving clock.
2. Care and operation of a compressed air fog signal plant.
3. Cleaning and minor repairs of above apparatus.
4. Cleaning, painting and making minor repairs to structures.
5. Care and attention of grounds of station.
6. Keeping station records and preparing and submitting periodical reports.
7. Observing weather conditions and aids to navigation.

...ATION BOARD FORM NO. 1
...n accordance with detailed
...on sheet, Form No. 2)

Sheet No. 104

2—12758

UPTON	JOSEPH	H
(Surname)	(Given name)	(Initial)

DO NOT WRITE IN THIS SPACE

a			
b			
c			
d			

2. Department COMMERCE
3. Bureau Lighthouses
4. Field Service Lighthouse Service
5. (a) Field Station First Lighthouse District
   (b) Subdivision Isles of Shoals Light Station
   (c) City or town ____ State N. H.
6. Pay-roll title Light Keeper
7. Customary office title Keeper

8. (a) Number of regular working hours of the position, per day 16 (average); per week 112
   (b) If this is not a full-time position, state percentage of time employed per day ____; or per week ____
   (c) If night work, wholly or in part, state the hours: From sunset m. to sunrise, daily m.
9. If this is not an all-year-round position, state approximate number of days employed each year ____
   During what months ____
10. If the employee receives compensation from any other branch of the Federal Government, or from any city, county, or State government, state the position held and the compensation ____
11. Present rate of pay, exclusive of bonus $ 1080.00 per annum; bonus, $ 240.00 per annum.
12. Rate of pay, exclusive of bonus, on January 1, 1923, $ 1080.00 per "; bonus, $ 240.00 per annum.
13. If the employee receives overtime pay, state the rate ____
14. Title of appropriation or fund from which basic compensation is paid Salaries of Keepers Lighthouse Service
15. Indicate by an "X" in the proper box any of the following allowances received, in addition to salary or wages: ☒ Quarters; ☐ 3 meals per day; ☐ 2 meals per day; ☐ 1 meal per day; ☒ Subsistence or rations in kind; ☐ Uniform; ☐ Laundry; ☒ Fuel; ☒ Light; ☐ Heat. If cash allowances are made for any of these items, state which items and the amounts per annum $164.25 (45¢ per day commutation of rations). Note: Quarters incls. self & family.
16. Indicate what special equipment, if any, the employee is required by law or regulation to furnish at his own expense, and the approximate annual maintenance cost to the employee:
    Kind of equipment Uniform; annual cost, $ 20.00 (estimate)
17. Extent of employee's education. (Place an "X" in the box before the highest grade or year.)
    (a) Elementary school; years ☐ 1 ☐ 2 ☐ 3 ☐ 4 ☐ 5 ☐ 6 ☒ 7 ☐ 8
    (b) High school; years ☒ 1 ☐ 2 ☐ 3 ☐ 4
    (c) Business school; years ☐ ½ ☐ 1 ☐ 1½ ☐ 2 ☐ 2½ ☐ 3
    (d) College: Name ____; years ☐ 1 ☐ 2 ☐ 3 ☐ 4
    (e) Post graduate work: Kind ____; years ☐ 1 ☐ 2 ☐ 3
    (f) Correspondence courses: Kind ____; number of lessons ____; number completed ____

18. Description of work. (Describe the various tasks performed, making the description of each as detailed as space will permit. Use a paragraph for each task and number the paragraphs. In the column at the right state the estimated percentage of the total time—in the course of a day, month, or year—given to each task.)

Percentage of total time given to each task

1. Care and operation of a 2nd order Incandescent Oil Vapor Light and lens revolving clock.
2. Care and operation of a compressed air fog signal plant.
3. Cleaning and minor repairs of above apparatus.
4. Cleaning, painting and making minor repairs to structures.
5. Care and attention of grounds of station.
6. Keeping station records and preparing and submitting periodical reports.
7. Observing weather conditions and aids to navigation.

19. Does employee work under immediate supervision, or to a large extent upon his own responsibility? (Describe fully.)

In full charge of station and supervises the work of two assistants. In the performance of these duties he is guided by a Book of Instructions and by special directions issued from time to time by his District Superintendent. Under extraordinary circumstances, such as the saving of life and property, he is called upon to act on his own responsibility, owing to isolation of station and its exposed locality.

20. Do the duties involve direction or supervision of the work of other employees? Two.
If so, describe the nature of the direction or supervision and give the number and classes of employees directed or supervised.

This employee has two assistants acting under him and performing similar routine duties, for which their principal keeper is held responsible.

21. How long have the employee's duties been substantially as described above? Since April 8, 1915.

22. I hereby certify that to the best of my knowledge and belief the answers to the foregoing questions are correct.

MAY 31 1923 (Date), 1923.

C E Sherman (Signature of Preparing Officer)

Supt. of Lighthouses. (Title)

23. I have read the foregoing and I agree to it except as follows:

(Signature of Employee)

THE FOLLOWING TO BE FILLED OUT BY THE HEAD OF THE FIELD OFFICE OR STATION

24. Indicate by an "X" in the proper spaces below the qualifications and personal traits considered important for the satisfactory performance of the duties of this position:

	High Degree	Average	Not Essential
Judgment		X	
Accuracy		X	
Initiative (originality and resourcefulness)	X		
Trustworthiness (dependability)	X		
Speed (quickness)		X	
Physical Qualities (strength and endurance)	X		

Educational Training	Essential	Desirable But Not Essential
College		
High School		
Common School		X

Technical Education: If essential, kind and extent

Experience: If essential, kind and extent Boatman and operation of an 10V Light, revolving clock, and compressed air fog signal.

25. I hereby certify that I have read the foregoing and that, to the best of my knowledge and belief, the statements made are accurate and complete. In my judgment the compensation of this position should be in a range from $ 1140. to $ 1500., exclusive of allowances mentioned in Question 15.

MAY 31 1923 (Date), 1923.

C E Sherman (Signature of head of field office or station)

Supt. of Lighthouses.

GOVERNMENT PRINTING OFFICE 2—12758

Form 73 GFL

LIGHTHOUSES 1926 APR 29 PM 4 02

CHIEF CLERK 1926 MAY 1 PM 3

NOMINATION

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

OFFICE OF SUPERINTENDENT OF LIGHTHOUSES, First DISTRICT

COMMISSIONER OF LIGHTHOUSES: Portland, Maine (City.), April 28 (Date.), 1926.

DEPARTMENT OF COMMERCE MAY 1 1926 APPOINTMENT DIVISION

Action recommended, TRANSFER - Involving reduced salary.

Name, Upton, Joseph H.

Legal residence, Maine

Position, Keeper Prin

Salary, $1440.p.a. (Range:$1260-$1500 p.a.) at own min. Reduction in Sal. at own request for trans.

Location, Cape Elizabeth L. H., Maine Quarters

Appropriation, ~~Sals.,Kprs.,etc.~~ Sal. Etc

Effective May 16, 1926, ~~the actual date of assumption of duty~~, or as soon thereafter as he enters on duty.

Former position, Keeper Prin

Former salary, $1560.p.a. (Range:$1380-$1620 p.a.)

Location, Isles of Shoals L. H., N. H. Quarters

Rank on eligible list, Rating, Percentage, , Other eligibles considered:

Previous incumbent, Frank L. Cotton Services terminated, close May 15, 1926.

Reason, Recommended for retirement account disability. (Retirement Rec. for Correction)

NECESSARY ACTION TAKEN MAY 6 1926 DEPARTMENT OF COMMERCE

Members of family in Government Service,

Date of birth,

Remarks: This recommendation is made contingent upon the approval of my recommendation for the retirement of Mr. Cotton. This transfer is agreeable to Mr. Upton; letter herewith.

Selection regular, subject to the action of the U. S. Civil Service Commission, , 19

(Direct.)

Secretary, Civil Service District.

C. E. Sherman
C. E. SHERMAN
Superintendent of Lighthouses.

BUREAU OF LIGHTHOUSES
WASHINGTON

May 1, 1926.

Inclosure

Submitted to the Secretary of Commerce, approved. Attention is invited to the Bureau's recommendation already submitted for the retirement of keeper Frank L. Cotton.

5-1-26

APPROVED:
ACTING ASSISTANT SECRETARY.

Acting Commissioner of Lighthouses.

---

1 Strike out the clause which does not apply.

11—2314

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
MAY 12 1925
PORTLAND, MAINE.

LIGHTHOUSES

1926 APR 29 PM 4 02

FIRST DISTRICT

Isles of Shoals
(Station, Depot, or Vessel)

May 8th, 1925

SUPERINTENDENT OF LIGHTHOUSES,
Portland, Maine.

Sir: I am submitting a new list of lights I would accept if offered they are as follow

Burnt Island	Keeper
Cape Elizabeth	"
Doublin Point	"
Goat Island	"
Portland Head	"
Penaquid Point	"
Perkins Island	"
Squirrel Point	"
Wood Island	"

Your Respect
Joseph Upton
Isles of Shoals
Light Station

DEPARTMENT OF COMMERCE

APPOINTMENT DIVISION

WASHINGTON

January 31, 1925.

r. Joseph H. Upton,
M

Commissioner of Lighthouses,

Through the

Sir:

In connection with the readjustment of pay of positions in the Lighthouse Service the total compensation of the position occupied by you has been fixed as indicated below, effective February 1, 1925.

By direction of the Secretary:

Respectfully,

[illegible]

Acting Chief of Appointment Division.

Position: Keeper

Salary: $1560 ✓

Appropriations: Salaries, Keepers of Lighthouses.
General Expenses, Lighthouse Service.

1st.

JBJ.

CARDED

(CROSS REFERENCE)

Upton Joseph H In accordance with authority of the Director of the Budget dated June 27, 1924, the salary is re-adjusted to $ 1320, effective July 1, 1924.

For authorization see letter dated JUL 17 1924 File No. 1095/21.

NOTICE OF CHANGE IN SALARY
KEEPERS -- LIGHTHOUSE SERVICE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

November 5, 1918.

M
r. Joseph H. Upton,
Through the Commissioner of Lighthouses.

Sir:

You are advised that your salary as keeper in the Lighthouse Service has been changed from $ 804 per annum to $ 1080 per annum, effective November 1, 1918. (The above rate of pay includes longevity pay of $60.)

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary:

Respectfully,

(Signed) Clifford Hastings
Chief of Appointment Division.

OK BASIS OF THIS ACTION DATED 10/7/18 10951

R
Ho

APPOINTMENT DIVISION NOV 8 1918 DEPARTMENT OF COMMERCE

CARDED

USE TYPEWRITER—SEE INSTRUCTIONS ON BACK.

UNITED STATES
BUREAU OF EFFICIENCY.
FORM 8.

DEPARTMENT OF COMMERCE
BUREAU OF THE CENSUS.
8—4789-1

[redacted] NO ENTRIES IN THIS COLUMN.

RECORD OF CIVIL EMPLOYEE.

JULY 1, 1916.

1. Name: (Surname first. Given name in full. For women write Miss or Mrs. before given name.)
UPTON Joseph Hale

2. Department:
Commerce

3. Bureau, office, or service:
Lighthouses

4. Designation of position:
Keeper Lighthouse Service

5. Occupation (if not clearly shown by answer to question 4):
Care of light, fog signal,
buildings, grounds etc.

6. Annual earnings:
871.80

7. Kind of appropriation:
L S

8. Present rate of pay:
$ 804. P A

9. Initial rate of pay:
$ 456. P A

10. Total length of service (give the total of ALL U. S. GOVT. SERVICE with the exceptions stated on the back of this card)—
Years: 5 Months: 2

11. State, Territory, or foreign country of birth (do not give town):
Maine

12. Race:
W

13. Sex:
Male

14. Date of birth—
Month: November 12 Year: 1869

15. Kinds of schools attended, years attended, and degrees taken:
E 7 H 1

16. Marital condition:
M

17. War veteran?
No

18. Appointed from—
Congressional district and State: 1 Maine
County: Cumberland

19. Civil service status:
C

20. Method by which classified status was obtained:
C E

21. Place of employment—
City: Isles of Shoals L S Portsmouth
State: N H

DO NOT FOLD THIS CARD.

11—5869

Form 111

OATH OF OFFICE

(Prescribed by Section 1757, Revised Statutes)

Department of Commerce and Labor

LIGHTHOUSE SERVICE

Lighthouse Inspector RECEIVED JAN 21 1916 First Dist. Portland, Me.
APPOINTMENT JAN 26 1916
LIGHT JAN 24 1916 HOUSES
CLERK JAN 26 1916

I, JOSEPH H. UPTON,

do solemnly[1] Swear (See below) that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter: SO HELP ME GOD.

☞ Joseph H. Upton (Appointee signs here)

[2] Sworn to (See below) and subscribed before me, this[3] 27th day of January, A. D. 1916

(Affix official seal here)

[4] (Name) Harry L. Lowell

(Official title) Notary Public

Official Title	Rate of Pay	Name of Station, Vessel, or Place of Employment
Present Keeper	$804 per annum	Isles of Shoals Lt. Sta., N.H.
Former[5] "	$720 " "	" " " " " "

(The above should be filled in by the district officer before sending to appointee)

gmw

Office of Lighthouse Inspector, First District

Portland, Me., (Address), Jan. 21, 1916. (Date)

The above-named appointee entered upon duty on Jan. 1, 1916. (Date)

CARDED.

C. E. Sherman
Inspector. (followed by title)

[1] Insert here "swear" or "affirm," as the case may be.

[2] Insert here "sworn to" or "affirmed," as the case may be.

[3] The oath may be taken any time after the date of appointment and prior to the payment of compensation, but no compensation shall be paid until the oath is executed in proper form.

[4] This oath should be taken before a justice of the peace, notary public, judge, clerk of a court, or any other officer having authority to administer oaths, but never before a collector of customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified to by the clerk of the proper court or the proper county clerk.

[5] Give this information in all cases where new oath is required.

Failure to fill out this oath properly will result in its return for correction.

11—944

Ent'd

GENERAL APPOINTMENT—FIXED DATE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

December 27, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, Keeper in the Lighthouse Service at a salary of Eight Hundred and Four dollars per annum, effective January 1, 1916.

(By increase in compensation from $720 per annum.)

CARDED. APPOINTMENT DIVISION ENTERED DEC 28 1915 DEPARTMENT OF COMMERCE

By direction of the Secretary:

Respectfully,

E
R

(SIGNED) CLIFFORD HASTINGS
Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice:

Legal Residence: Maine.

ORDER REPORTED JAN 1916 C. S. COMMISSION

11—1393

Form 73 CLK

NOMINATION

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT.

LIGHTHOUSES DEC 23 1915

Portland, Me., (City.) Dec. 21 (Date.), 191 5

THE COMMISSIONER OF LIGHTHOUSES:

Action recommended, Increase in salary.

Name, JOSEPH H. UPTON — Legal residence, Maine.

Position, Keeper — Salary, $804 per annum.

Location, Isles of Shoals Light Station, N.H. — Appropriation, Sal., Kprs., etc.

Effective January 1, 1916. { the actual date of entrance on duty, or as soon thereafter as he enters on duty.

Former position, Keeper — Former salary, $720 per annum.

Location, Isles of Shoals Light Station, N.H.

Rank on eligible list, Rating, Percentage, , Other eligibles considered:

Previous incumbent, Himself — Services terminated, Dec. 31, 1915.

Reason, Increase effective Jan. 1, 1916. DEC 16 1915

Members of family in Government Service, ~~None.~~ One

Remarks: Reference to Bureau's letter Dec. 17, '15, "Pay schedule." Has cousin employed in Government Service. Same does not live under same roof, but maintains his family separately.

Selection regular, subject to the action of the U. S. Civil Service Commission. , 191

(In the absence and by direction of the Inspector)

Lester
Superintendent.

Secretary, Civil Service District.

12-27-15

BUREAU OF LIGHTHOUSES
WASHINGTON

DEC 23 1915

Submitted to the Secretary of Commerce, approved.

Acting Commissioner

[1] Strike out the clause which does not apply.

11—3314

Form 111

OATH OF OFFICE

(Prescribed by Section 1757, Revised Statutes)

APR 12 1915 HOUSES

Department of Commerce and Labor

LIGHTHOUSE SERVICE

APPOINTMENTS APR 20 1915

I, JOSEPH H. UPTON,

do solemnly[1] swear (See below) that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter: So help me God.

☞ Joseph H. Upton
(Appointee signs here)

[2] Sworn. (See below) and subscribed before me, this[3] 31st day of March, A. D. 1915

(Affix official seal here)

[4] (Name) Edmund S. Tait

(Official title) Notary Public

Official Title	Rate of Pay	Name of Station, Vessel, or Place of Employment
Present Keeper	$720 per annum	Isles of Shoals Lt. Sta., N.H.
Former[5] 3rd Asst. Keeper	$456 " "	Cape Elizabeth Lt. Sta., Me.

(The above should be filled in by the district officer before sending to appointee)

Office of Lighthouse Inspector, First District

Portland, Me., (Address), April 10, 1915. (Date)

The above-named appointee entered upon duty on April 8, 1915 (Date)

CARDED.

G. G. Sherman
Inspector. (followed by title)

[1] Insert here "swear" or "affirm," as the case may be.

[2] Insert here "sworn to" or "affirmed," as the case may be.

[3] The oath may be taken any time after the date of appointment and prior to the payment of compensation, but no compensation shall be paid until the oath is executed in proper form.

[4] This oath should be taken before a justice of the peace, notary public, judge, clerk of a court, or any other officer having authority to administer oaths, but never before a collector of customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified to by the clerk of the proper court or the proper county clerk.

[5] Give this information in all cases where new oath is required.

Failure to fill out this oath properly will result in its return for correction.

11—944

Entd

GENERAL APPOINTMENT

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

March 24, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Keeper

in the Lighthouse Service

at a salary of Seven Hundred and Twenty dollars per annum,

effective on the date on which you enter upon duty in the above-mentioned position.

(By transfer from third assistant keeper at $456 per annum.)

CHANGE REPORTED
APR 1915
U. S. COMMISSION.

CARDED

By direction of the Secretary:

Respectfully,

(SIGNED) GEO. W. LEADBETTER

R
C

Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice: Jerome C. Brown.

Legal Residence: Maine.

APPOINTMENT DIVISION ENTERED MAR 24 1915 ON JOURNAL DEPT OF COMMERCE

11—3692

ADDRESS ALL COMMUNICATIONS TO
LIGHTHOUSE INSPECTOR
PORTLAND, ME.

CES-CLK

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

H

LIGHT
MAR 17 191
HOUSE

OFFICE OF INSPECTOR, 1ST DISTRICT
PORTLAND, ME.

Isles of Shoals Light Station: Keeper
Nomination of Jos. H. Upton.

Mar. 16, 1915.

Commissioner of Lighthouses, Washington, D. C.

Referring to recommendation herewith on Form 73 for the promotion of Mr. Joseph H. Upton, now serving as third assistant keeper at Cape Elizabeth Light Station, Me., to position as keeper of the Isles of Shoals Light Station, N. H., the following explanation is submitted:

1 The Isles of Shoals Light Station is an offshore station, located on a barren rock - about 6½ miles seaward from Portsmouth, N. H. - at which landings at times, particularly during the winter season, are dangerous; for this reason it is necessary that the keepers be unusually good boatmen, and as there are three keepers and their families there, some executive ability is required on the part of the principal keeper. In these respects, this station does not differ from several others in this locality, but, besides being provided with an incandescent oil vapor lamp of the second order, it has also a compressed air siren fog signal plant, having one of the most complicated engines of any in use in this District, in consequence of which the keepers, besides requiring the qualifications enumerated above, should possess a good knowledge of machinery.

2 There has been but one man who has expressed a willingness to go there through transfer, and this man is not now considered competent to handle the situation at this particular station. While the retiring keeper has been well fitted for this position, and has rendered excellent service, the first assistant is not considered capable at this time of filling the position of

principal keeper at any offshore station where three keepers are stationed; and the second assistanthas only served since Oct. 1st, 1914, consequently being lacking in experience.

3 On account of the conditions as stated above, and the absolute necessity that these engines receive the best of care and attention, it is believed to be to the best interests of the Service to select for this particular position that employee willing to accept same who appears best fitted.

4 After a careful examination of the records of each of the keepers and assistants in this District, together with investigation into the experience of some before entering the Lighthouse Service, it is found that Mr. Joseph H. Upton has served as engineer, both stationery and marine, as well as master mariner, and, although not an applicant, as he has stated he would accept the position if appointed, his nomination is herewith.

Sherman
Inspector.

CLK
Form 73

NOMINATION

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT,

Portland, Me., (City.) Mar. 16 (Date.) 1915

THE COMMISSIONER OF LIGHTHOUSES:

Action recommended, Promotion

Name, JOSEPH H. UPTON Eff 91.8 Legal residence, Maine

Position, Keeper Salary, $720 per annum

Location, Isles of Shoals Light Station, N.H. Appropriation, Sal.,Kprs.,etc.

Effective April 8, 1915 { the actual date of entrance on duty, or as soon thereafter as he enters on duty.

Former position, Third Assistant Keeper Former salary, $456 per annum

Location, Cape Elizabeth Light Station, Me.

Rank on eligible list, Rating, Percentage, , Other eligibles considered:

Previous incumbent, Jerome C. Brawn Services terminated, April 7, 1915.

Reason, Resignation tendered.

Relatives in Government Service, One, a cousin; does not live under same roof.

Remarks:
See letter of even date herewith.

Selection regular, subject to the action of the U. S. Civil Service Commission. , 191

Secretary, Civil Service District. Inspector.

HRW-JEL
One inclosure.

BUREAU OF LIGHTHOUSES
WASHINGTON

MAR 20 1915

Submitted to the Secretary of Commerce, approved.
Inclosed is First Inspector's letter of March 16, 1915, which is concurred in by the Bureau.

March 23, 1915.

H. B. Bowerman
Acting Commissioner

APPROVED:
E. F. Sweet
Assistant Secretary.

[1] Strike out the clause which does not apply.

11—2314

Form 111

BUREAU OF LIGHT-HOUSES
MAY 6 1912
DEPT OF COMMERCE AND LABOR

MAY 10 1912

OATH OF OFFICE

(Prescribed by Section 1757, Revised Statutes)

Department of Commerce and Labor

LIGHTHOUSE SERVICE

Carded in the Disbursing Office.

I, Joseph H. Upton,

do solemnly[1] swear (See below.) that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter: So HELP ME GOD.

Joseph H Upton
(Appointee signs here.)

[2]Sworn to (See below.) and subscribed before me, this[3] first day of May, A. D. 1912.

(Affix Official seal here.)

[4](Name) Charles M. Hanford

(Official title) Notary Public.

Official Title.	Rate of Pay.	Name of Station, Vessel, or Place of Employment.
Third Assistant Keeper	$456 per yr.	Cape Elizabeth Light-Station, Me.

(The above should be filled in by the district officer before sending to appointee.)

Office of Lighthouse Inspector, First District

CARDED.

Portland, Me., (Address.), May 3, 1912. (Date.)

The above-named appointee entered upon duty on May 1, 1912 (Date.).

DIVISION OF APPOINTMENTS
RECEIVED
MAY 11 1912
G
DEPT OF COMMERCE AND LABOR

G. E. Sherman
(Signature, followed by title.)
Inspector 1st L.H. District.

[1] Insert here "swear" or "affirm," as the case may be.

[2] Insert here "sworn to" or "affirmed," as the case may be.

[3] The oath may be taken any time after the date of appointment and prior to the payment of compensation, but no compensation shall be paid until the oath is executed in proper form.

[4] This oath should be taken before a justice of the peace, notary public, judge, clerk of a court, or any other officer having authority to administer oaths, but never before a collector of customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified to by the clerk of the proper court or the proper county clerk.

Failure to fill out this oath properly will result in its return for correction.

MAY 10 1912

GENERAL APPOINTMENT-FIXED DATE

Department of Commerce and Labor
APPOINTMENT DIVISION
Washington

April 18, 1912.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, Third Assistant Keeper in the Lighthouse Service at a salary of Four Hundred and Fifty-six dollars per annum, effective on the date of actual entrance on duty.

(By transfer from second assistant keeper at $480 per annum.)

CARDED.

CHANGE REPORTED
MAY - - 1912
U.S. COMMISSION.

APPOINTMENT DIVISION.
REPORTED
APR 22 1912
TO DISBURSING CLERK
DEPT OF COMMERCE AND LABOR.

By direction of the Secretary:

Respectfully,

Acting Chief of Appointment Division.

E
D

Appropriation: Salaries, Keepers of Lighthouses.

Vice: Alonzo Morong.

Legal Residence: Maine.

11--1398

Form 73 ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER OF APPOINTEE

K

One inclosure.

Department of Commerce and Labor
LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT,

Portland, Me., April 11 , 1912

BUREAU OF LIGHT-HOUSES APR 15 1912 DEPT OF COMMERCE AND LABOR

THE COMMISSIONER OF LIGHTHOUSES:

The following recommendation is submitted:

OFFICE OF THE CHIEF CLERK RECEIVED APR 16 1912 DEPT OF COMMERCE AND LABOR

APPOINTMENT DIVISION RECEIVED APR 16 1912 5 PM DEPT OF COMMERCE AND LABOR

Action proposed, Transfer.

Name, Joseph H. Upton Ef 91.8 Legal residence, Maine

Position, 3rd Asst. Keeper Salary, $456.00 per annum.

Location, Cape Elizabeth Light-Station, Me. was 37.50 per

Effective on the actual date of entrance on duty.

Former position, 2d Asst. Keeper Former salary, $480 per annum.

Location, Matinicus Rock Light-Station, Me.

Rank in list of eligibles, Rating, Percentage,

Other eligibles considered,

Letters of declination inclosed,

Previous incumbent, Alonzo Morong Services terminated, on a. E. O. D. Not known as yet.

Reason, Promotion.

Remarks: This transfer is recommended upon Mr. Upton's request. His letter inclosed.

CHIEF CLERK FORWARDED TO APR 16 1912 APPTS. DEPT OF COMMERCE AND LABOR

C E Sherman
Inspector 1st L.H.District.

Salaries of Keepers of Lighthouses, 1912.

One inclosure.

Department of Commerce and Labor
BUREAU OF LIGHTHOUSES
WASHINGTON APR 15 1912

Respectfully submitted to the Secretary of Commerce and Labor, approved.

11—2314

4-17-12

APPOINTMENT DIVISION APPOINTMENT WRITTEN APR 18 1912 DEPT OF COMMERCE AND LABOR

C A Loomer
Acting Commissioner

RECEIVED
APR 11 1912
Light-House Inspector
1st. District.

Malinicus Rock
Light Station
April 7 – 1912

BUREAU OF LIGHT-HOUSES
APR 15 1912
DEPT. OF COMMERCE AND LABOR.

Mr. L. E. Sherman
Inspector 1st L.H. District
Portland, Me.

Dear Sir.

In answer to yours of the 28th I wish to say that I will accept the position of third assistant Keeper at Cape Elizabeth Light Station providing the same were offered me, providing you would grant me a leave of absence of ten days to move my family and goods in. I would have answered your letter before but could not on account of bad weather

Yours Very Truly.
Joe. H. Upton
2nd Asst. Keeper
Malinicus Rock
Light Station
Maine

Through Keeper
C. F. Dyer.

READ THE INSTRUCTIONS ON PAGE 3

ACB

Department of Commerce and Labor

EFFICIENCY REPORT

JAN 4 1912

(The parenthetical letters refer to instructions on page 3, which instructions should be read and understood before attempting to fill out the form.)

1. Name Joseph H. Upton 2. Age 42 (Last birthday.)

3. Bureau Lighthouse Service 4. Position Second Assistant Keeper

5. Compensation $480.-PA & .30 day ration 6. Where employed Matinicus Rock Light Station

7. When appointed (a) Apr.13,1911 8. How appointed (b) Through Civil-Service Exam.

9. Status under Civil Service Rules (c) Classified competetive

10. Is the employee an honorably discharged soldier or sailor? no

11. Does the employee receive a pension? no If so, state the amount ----

12. Other Government service None

13. Duties (describe fully) Second Assistant Keeper
Matinicus Rock Light Station, Me.

DIVISION OF APPOINTMENTS RECEIVED JAN 19 1912 DEPT OF COMMERCE AND LABOR

CARDED

14. Has the employee special qualifications? -- If so, state them ---

15. Efficiency (d):

	Averages.	Relative weights.	Products of averages multiplied by weights.	
Quantity of work	90	2	180	
Quality of work	85	3	255	
Interest manifested in work (see also Question 17)	100	3	300	
Attendance		2		
Total		8 ~~10~~	735	
Average percentage			91	875

11—4259

16. In the following form state the number of days of annual leave, sick leave, and leave without pay taken in each of the years 1908, 1909, and 1910:

1908.			1909.			1910.		
Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.
--	--	--	--	--	--	--	--	--

17. Is this employee a purely routine employee, or does he manifest interest, not only in his own work but also in the work of the office or bureau as a whole, to the extent of overtime work or voluntary efforts by suggestion or otherwise to add to its efficiency? (Answer fully.) Performs his own work

18. On the basis of efficiency alone, is this employee overpaid or underpaid as compared with others in your office engaged on the same grade of work, or is his salary a fair equivalent for the service rendered? (Answer fully.) Salary is a fair equivalent for services If the present salary is above or below a fair equivalent, state what, in your opinion, it should be. ------

19. Is this employee superannuated? no If so, what percentage of salary is unearned? -----

20. Remarks: (e) -------

The official who is primarily responsible for the statements herein submitted will sign here—

B C Shearman
Inspector 1st L.H.District

The official who approves the statements thus submitted will sign here—

B C Shearman
Inspector First Lighthouse District.

G. R. Putnam
Chief of Bureau or Office.
Commissioner

11—4259

Form 78

K

Department of Commerce and Labor

LIGHTHOUSE SERVICE

OFFICE OF INSPECTOR, 1st DISTRICT

Portland, Me., Sept. 19, '11.

2nd *Indorsement*

SUBJECT

Oath of Office of Joseph H. Upton.

- o - o - o -

Respectfully returned to the Commissioner of Lighthouses, the official character of the Justice of the Peace having been certified to by the Clerk of Courts of Cumberland County, Me.

C. E. Sherman.

Inspector 1st L.H.District.

CAMDEN.

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

BUREAU OF LIGHT-HOUSES
SEP 16 1911
DEPT OF COMMERCE AND LABOR

OCT 3 1911
DEPT OF COMMERCE AND LABOR

September 15, 1911.

Sir:

Returned herewith is the oath of office subscribed by Joseph H. Upton, second assistant keeper of Matinicus Rock Light Station, Maine, before H. W. Young, Justice of the Peace, with the request that Mr. Young's official character be duly certified to by the clerk of the proper court or the proper county clerk, as required by the second sentence of paragraph c, instructions on the oath.

By direction of the Secretary:

Respectfully,

Alford Dunnaway
Acting Chief Clerk.

The Commissioner of Lighthouses.

Department of Commerce and Labor
Bureau of Light-Houses
Washington Sept. 16, 1911.

Respectfully referred to the Inspector of the 1st Light-House District for his information, proper action, and return with a report.

A Lawrence
Acting Commissioner.

RECEIVED
SEP 18 1911
Light-House Inspector
1st. District

Inclosure No. 737.
D-Le

September 15, 1911.

Sir:

Returned herewith is the oath of office subscribed by Joseph H. Upton, second assistant keeper of Matinicus Rock Light Station, Maine, before H. W. Young, Justice of the Peace, with the request that Mr. Young's official character be duly certified to by the clerk of the proper court or the proper county clerk, as required by the second sentence of paragraph 8, instructions on the oath.

By direction of the Secretary:

Respectfully,

Acting Chief Clerk.

The Commissioner of Lighthouses.

Inclosure No. 737.
D-Le

RECEIVED
SEP 12 1911
DEPT OF COMMERCE AND LABOR

(PRESCRIBED BY SECTION 1757, REVISED STATUTES)

Department of Commerce and Labor

LIGHT-HOUSE ESTABLISHMENT

BUREAU OF LIGHT-HOUSES
SEP 8 1911
DEPT OF

Form No. 170.

STATE OF MAINE.

Cumberland, ss. **Clerk of Courts Office.**

I, LLEWELLYN BARTON, County Clerk and Clerk of the Supreme Judicial and Superior Courts of said County, which are Courts of Record, each having a seal, being the officer authorized by the State of Maine to make the following Certificate:

Do hereby certify, that *C. W. Young* Esquire, whose name is subscribed to the certificate of the acknowledgment of the annexed instrument and thereon written, was at the time of such acknowledgment a JUSTICE OF THE PEACE for the State of Maine, residing in the County aforesaid, duly commissioned and qualified to administer oaths and affirmations and to take acknowledgments and proofs of Deeds or conveyances of lands, tenements and hereditaments to be recorded in said State of Maine, and to all whose acts, as such, full faith and credit are and ought to be given, as well in Courts of Judicature as elsewere; that I am well acquainted with the hand writing of the said JUSTICE OF THE PEACE and verily believe his signature thereof is genuine; and I further certify that the said instrument is executed and acknowledged in conformity with the laws of said State of Maine.

In testimony whereof, I have hereunto set my hand and affixed a seal of one of said Courts, this *eighteenth* day of *September* in the year of our Lord one thousand nine hundred and *eleven.*

Llewellyn Barton

CLERK.

(Signed:) *H. C. Sherman*

Inspector 1st L.H.District.

CARDED.

[a] Insert here "swear" or "affirm," as the case may be.

[b] Insert here "Sworn to" or "Affirmed," as the case may be.

[c] This Oath should be taken before a Justice of the Peace, Notary Public, Judge, Clerk of a Court, or any other officer having authority to administer oaths, but never before a Collector of Customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified to by the clerk of the proper court or the proper county clerk.

NOTE CAREFULLY.

The oath may be executed at any time subsequent to the actual issuance of the appointment certificate, but no compensation should be paid until the oath is executed in proper form. Whenever possible the oath should be executed upon the date of actual entrance upon duty.

A letter of transmittal (use Form 95) should accompany Oath, stating the exact date of the entrance on duty of the appointee and the above blank also filled out and

Form 111
K

OATH OF OFFICE

(PRESCRIBED BY SECTION 1757, REVISED STATUTES)

Department of Commerce and Labor

LIGHT-HOUSE ESTABLISHMENT

OFFICE OF THE CHIEF CLERK RECEIVED SEP 12 1911 DEPT OF COMMERCE AND LABOR

BUREAU OF LIGHT-HOUSES SEP 8 1911 DEPT OF COMMERCE AND LABOR

I, Joseph H. Upton,

[a] solemnly swear that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter: So HELP ME GOD.

Joseph H Upton

[b] Sworn to and subscribed before me, this 26 day of Aug, A. D. 1911

(Affix official seal here.)

[c] (Name:) H W Young

(Official title:) Justice of the Peace

Official Title.	Name of Station.	State or Country in which born.	State or Territory from which appointed.
Second Assistant Keeper $450 yr.	Matinicus Rock Light-Station, Me.	Maine	Maine

Office of the Inspector, 1st Light-House District,

Portland, Me., (Address.) Sept. 7, '11. (Date.)

The above-named appointee entered upon duty on September 1, 1911. (Date.)

(Signed:) C E Sherman

Inspector 1st L.H.District.

CARDED.

[a] Insert here "swear" or "affirm," as the case may be.

[b] Insert here "Sworn to" or "Affirmed," as the case may be.

[c] This Oath should be taken before a Justice of the Peace, Notary Public, Judge, Clerk of a Court, or any other officer having authority to administer oaths, but never before a Collector of Customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified to by the clerk of the proper court or the proper county clerk.

NOTE CAREFULLY.

The oath may be executed at any time subsequent to the actual issuance of the appointment certificate, but no compensation should be paid until the oath is executed in proper form. Whenever possible the oath should be executed upon the date of actual entrance upon duty.

A letter of transmittal (use Form 95) should accompany Oath, stating the exact date of the entrance on duty of the appointee and the above blank also filled out and properly signed by the district officer.

Failure to fill out this Oath properly will result in its return for correction.

Rec'd OCT 2 1911 SEP 12 1911

DIVISION OF APPOINTMENTS 11—944 RECEIVED SEP 12 1911 CLERK AND LAB DEPT OF COMMERCE AND LABOR

The oath of office required by Sec. 1757, R.S., may be taken before any officer who is authorized either by the laws of the United States, or by the local municipal law, to administer oaths, in the State, Territory or District where such oath may be administered. (Sec. 1758, R.S.)

Judges of Municipal and Police Courts, trial justices and justices of the peace; also women, * * * * * may administer all oaths required by law, unless another officer is specially required to do it. (See Chap. 133, Sec. 2 of the Revised Statutes of ~~the~~ Maine, 1903).

GENERAL APPOINTMENT

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

August 14, 1911.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Second Assistant Keeper of Matinicus Rock Light Station, Maine,

in the Lighthouse Service,

at a salary of Four Hundred and Eighty dollars per annum,

effective beginning September 1, 1911, or as soon thereafter

as you enter upon duty in the above-mentioned position.

(By promotion from Third Assistant Keeper at $[illegible] per annum.)

CHANGE REPORTED
SEP -- 1911
C. S. COMMISSION.

CARDED.

By direction of the Secretary:

Respectfully,

Algernon Cummins

Acting Chief Clerk.

Le
D

APPOINTMENT DIVISION
REPORTED
AUG 14 1911
TO DISBURSING CLERK

Appropriation:

Vice: Harold I. Hutchins,

Legal Residence: Maine.

n-3609

Form 73 K

ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER OF APPOINTEE

BUREAU OF LIGHTHOUSES AUG 1 0 1911 DEPT OF COMMERCE AND LABOR.

Department of Commerce and Labor

LIGHTHOUSE SERVICE

OFFICE OF THE CHIEF CLERK RECEIVED AUG 11 1911 DEPT OF COMMERCE AND LABOR.

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT,

Portland, Me., August 8, 1911

THE COMMISSIONER OF LIGHTHOUSES:

The following recommendation is submitted:

APPOINTMENT DIVISION RECEIVED AUG 11 1911 DEPT OF COMMERCE AND LABOR.

APPOINTMENT DIVISION RECEIVED AUG 11 1911 DEPT OF COMMERCE AND LABOR.

Action proposed, Promotion

Name, Joseph H. Upton * Legal residence, Maine

Position, Second Assistant Keeper Salary, $480 per annum

Location, Matinicus Rock Light-Station, Me.

Effective on the actual date of entrance on duty.

Former position, Third Assistant Keeper Former salary, $456 per annum.

Location, Matinicus Rock Light-Station, Me.

Rank in list of eligibles, Rating, Percentage,

Other eligibles considered,

Letters of declination inclosed, 40. Jm

Previous incumbent, Harold I. Hutchins Services terminated, August 31, 1911.

Reason, To be promoted.

Remarks: * appointed to 3 a/c May 5'11 Ef- not reported

8-12-11

C. E. Sherman

Inspector 1st L.H.District.

OFFICE OF THE CHIEF CLERK FORWARDED TO AUG 11 1911 APPOINTMENTS DEPT OF COMMERCE AND LABOR.

Department of Commerce and Labor

BUREAU OF LIGHTHOUSES

WASHINGTON

AUG 10 1911 APPOINTMENT DIVISION APPOINTMENT WRITTEN AUG 14 1911 DEPT OF COMMERCE AND LABOR.

Respectfully submitted to the Secretary of Commerce and Labor, approved.

11—2314

C. A. Keenan

Acting Commissioner

WB

READ THE INSTRUCTIONS ON PAGE 3

Department of Commerce and Labor

ACB

EFFICIENCY REPORT

May 13, 1911

(The parenthetical letters refer to instructions on page 3, which instructions should be read and understood before attempting to fill out the form.)

1. Name Joseph H. Upton 2. Age 41 (Last birthday.)

3. Bureau Light House Service 4. Position Third Assistant Keeper
$456.-PA &.30

5. Compensation day ration 6. Where employed Matinicus Rock Light Station

7. When appointed (*a*) Apr.13,1911 8. How appointed (*b*) Through Civil-Service Examination competitive-Examination-

9. Status under Civil Service Rules (*c*) Classified competitive

10. Is the employee an honorably discharged soldier or sailor? no

11. Does the employee receive a pension? no If so, state the amount -

12. Other Government service None

13. Duties (describe fully) Third Assistant Keeper
Matinicus Rock Light Station, Me.

14. Has the employee special qualifications? no If so, state them

15. Efficiency (*d*):

	Averages.	Relative weights.	Products of averages multiplied by weights.
Quantity of work		2	
Quality of work		3	
Interest manifested in work (see also Question 17)		3	
Attendance		2	
Total ... Only 13 days in the service		10	
Average percentage .. Appointed May 1, 1911			

RECEIVED JUN 13 1911 DIVISION OF APPOINTMENTS DEPT OF COMMERCE AND LABOR

11—4259

16. In the following form state the number of days of annual leave, sick leave, and leave without pay taken in each of the years 1908, 1909, and 1910:

Bureau's letter May 5, 1911

1908.			1909.			1910.		
Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.
-	-	-	-	-	-	-	-	-

17. Is this employee a purely routine employee, or does he manifest interest, not only in his own work but also in the work of the office or bureau as a whole, to the extent of overtime work or voluntary efforts by suggestion or otherwise to add to its efficiency? (Answer fully.) See number 15

18. On the basis of efficiency alone, is this employee overpaid or underpaid as compared with others in your office engaged on the same grade of work, or is his salary a fair equivalent for the service rendered? (Answer fully.) See number 15

If the present salary is above or below a fair equivalent, state what, in your opinion, it should be. ------

19. Is this employee superannuated? no If so, what percentage of salary is unearned? -----

20. Remarks: (e) -----

The official who is primarily responsible for the statements herein submitted will sign here—

Jno. W. McDonald

The official who approves the statements thus submitted will sign here—

Jno. W. McDonald

Commander, U. S. Navy,
Inspector 1st Lt. District

G. P. Putnam
Chief of Bureau or Office.

11—4259

At a meeting of the EFFICIENCY COMMITTEE, the following action was decided upon in this case.

On account of the short period of employment, no action will be taken at this time, but an additional report should be submitted on Jan. 1, 1912.

See DL of June 15, 1911, in file of MISC. 271/36.

Jos. F. Leyton

PERSONAL QUESTION SHEET

OFFICE OF THE CHIEF CLERK RECEIVED MAY 22 1911 DEPT OF COMMERCE AND LABOR

BUREAU OF LIGHT-HOUSES MAY 22 1911 DEPT OF COMMERCE AND LABOR

Department of Commerce and Labor

OFFICE OF THE SECRETARY

Washington

May 15, 1909.

To the Chiefs of Bureaus of the Department of Commerce and Labor:

For the purpose of enabling the Department to maintain a complete record of its officers and employees, you are requested to have every person hereafter appointed or employed in your Bureau, immediately upon his entrance on duty, answer each of the questions in Part I of this form and sign the same. The information given in Part I should be reviewed and approved in accordance with instructions. The information in Part II should be supplied as indicated and the form forwarded to the Department.

OFFICE OF THE CHIEF CLERK FORWARDED TO MAY 22 1911 APPOINTMENTS DEPT OF COMMERCE AND LABOR

DIVISION OF APPOINTMENTS RECEIVED MAY 22 1911 G DEPT OF COMMERCE AND LABOR

CARDED.

Charles Nagel
Secretary.

PART I.

(Part I should be filled out by the appointee or employee; but, if he is unable to write legibly, another person may write the answers at his dictation. In either case the statement should be signed by the appointee or employee. If he is unable to write, he may sign by mark in the presence of one witness. If he is unable to answer any question, he should indicate that fact.)

1. What is your full name? (Do not use initials, but spell out each name and state whether Mr., Miss, or Mrs. Example: Mr. John Richard Doe.) Mrs. Joseph Hale Upton

2. How do you intend to write your name on the pay roll? (*This should agree with signature to your oath of office.*) Joseph H Upton

3. What is your present legal (voting) residence? (Give city or town, State or Territory, county, and Congressional district.)
South Portland, (City or town.) Maine. (State or Territory.) Cumberland (County.) First (Congressional district.)

4. What is your race? (Answer "white," "colored," or "Mongolian.") White

5. Where were you born? South Portland

6. When were you born? November (Month.) 12th (Day.) 1869 (Year.)

7. Are you pensioned by the U. S. Government? (Answer "Yes" or "No.") No

8. Give name and address of person whom you would like to have notified in case of accident.

Mrs Joseph H Upton (Name.) 172 Preble St (Number and street.)

So Portland (City or town.) Maine (State or Territory.)

9. Are there any members of your family, besides yourself, in the civil service of the United States? (If so, give below their names, relationships, the Departments and Bureaus or Services in which they are employed, and the positions they occupy.)

Name.	Relationship.	Department.	Bureau or Service.	Position.
No				

10. If you were appointed through a civil service examination, state, as near as you recollect, the name and date of the examination. If not so appointed, state how you entered the service. (Examples: "By reinstatement," or "by transfer from ——," stating Department, Bureau or Service, position, and salary, if known.)

Appointed through Examination July 14 1910

11. Have you any physical disabilities? (If so, describe briefly. If you have defective eyesight, state whether corrected by glasses.)

No

12. Have you ever held any other position in the civil service of the United States? (If so, give below the Department and Bureau or Service in which you served, the position held, the salary you received, and the dates of your appointment and separation from the service.)

Department.	Bureau or Service.	Position.	Salary.	Appointed.	Separated.
No					

13. Do you hold any State or municipal office? (If so, state name of such office and compensation attached.)

No

14. Were you in the military or naval service of the United States during the Civil or Spanish-American War? (If so, give the information called for by the blanks below.)

Regiment and company, or vessel.	Date enlisted.	Date discharged.	Cause of discharge.
No			

15. If you served in the military or naval service of the United States at any time other than during the Civil or Spanish-American Wars, indicate such service in the spaces below.

Regiment and company, or vessel.	Date enlisted.	Date discharged.	Cause of discharge.

16. What was your occupation prior to entering the United States civil service? (It is intended that the answer to this question shall state briefly the lines of work followed by you before receiving your present appointment, with the exception of your service indicated in answer to questions 12, 13, 14, and 15.)

Running Steam Boilers and Steam Fitting

11—1434

17. What education have you received? (Indicate the grades of schools you have attended, and, in case you have attended schools of higher grade than high schools, give the institution, degrees received, and date in each case. Example: Public school; high school; business college; Cornell University, A. B., 1900; Columbia University, LL. B., 1903.)

Public School Education

18. What special qualifications have you—educational, professional, technical, mechanical, etc.? (Examples: Qualified as "Lawyer," or "Civil Engineer," or "Translator," or "Interpreter," or "Draftsman," or "Carpenter").

Master Mariner

19. What, if any, civil service examinations have you passed? (Give names and dates as near as you recollect.)

For asst Light Keeper July 14 1910

20. Were the answers to the foregoing questions written by you? (If not, state the name and address of the person who wrote them for you.)

Yes

21. State the date upon which the above blanks were filled. April 20, 1911

Witness:

In case signature is by mark.

(Signature) Joseph H Lydon

P. O. Address: South Portland
City or town.

172 Preble st
Number and street.

Maine
State or Territory.

(Where the appointee is to be employed outside of the District of Columbia the information given in Part I should be reviewed and approved by the officer in immediate charge before forwarding to the Bureau. Where he is to be employed in the District of Columbia, Part I should be reviewed and approved by the officer who executes Part II.)

Reviewed, approved, and forwarded May 20, 1911

(Signature) Jno. W. McDonald Capt. U.S.R.C.S.

(Official title) Inspector 1st Dist.

11—1434

PART II.

(Part II should be executed by the executive officers of the various Bureaus of the Department, or, at their direction, by some official who can give the information desired. If unable to answer any question, indicate that fact.

---

1. State the Bureau or Service, place, and district in which the person who answered the foregoing questions is employed

Light-House Service (Bureau or Service.) Matinicus Rock Dt. Station (Place (city, town, station, or vessel.)) First (District.)

2. To what position has he been appointed? Third Assistant Keeper

3. State the date of appointment. April 13, 1911

4. State the date the appointment was effective. (If appointment was made to take effect on date of oath, so state.)

May 1st. 1911

5. State the date of the oath. April 21, 1911

6. State the date the employee entered on duty. May 5, 1911

7. What is his salary? 456.00 per annum

8. From what appropriation is he paid? Salaries of keepers of Light Houses.

9. Is the position excepted, competitive, or unclassified under the civil service rules? Competitive

10. If classified, state the date of classification. May 6, 1896

11. In what manner did the employee enter the service? (Examples: "From stenography and typewriting examination;" or "without examination;" or "by reinstatement;" or "by transfer from ——," stating Department, Bureau or Service, position, and salary, if known.)

Examined Ser. Form 1025

12. Is the employee required to give bond? No

13. When the legal residence given in answer to question 3, Part I, differs from that given in the certificate of appointment, the discrepancy should be explained.

—

14. If foreign born, state when and where naturalization papers were taken out.

—

Reviewed, approved, and forwarded to the Department.

(Signature) Jno. W. McDonald, Comdr U.S.N.

(Official title) Inspector 1st L.H. District

Date: May 20, 1911

11—1434

H.

Form 111

OATH OF OFFICE

(PRESCRIBED BY SECTION 1757, REVISED STATUTES)

BUREAU OF LIGHT-HOUSES
MAY 22 1911
DEP'T OF COMMERCE AND LABOR

Department of Commerce and Labor

LIGHT-HOUSE ESTABLISHMENT

I, Joseph H. Upton,

do solemnly [a] swear (See below.) that I will support and defend the Constitution of the United States against all enemies, foreign and domestic; that I will bear true faith and allegiance to the same; that I take this obligation freely, without any mental reservation or purpose of evasion; and that I will well and faithfully discharge the duties of the office on which I am about to enter: So help me God.

Joseph H. Upton
(Appointee signs here.)

[b] Sworn to (See below.) and subscribed before me, this 21st day of April, A. D. 191 1

(Affix official seal here.)

[c] (Name:) Percival P. Baxter

(Official title:) ~~Justice of the Peace~~ Notary Public.

Official Title.	Pay.	Name of Station.	State or Country in which born.	State or Territory from which last appointed.
Third Assistant Keeper	$456 per annum	Matinicus Rock Light-Station, Me.	Maine	Maine

(The above should be filled in by the district officer.)

Office of the Inspector, 1st Light-House District,

Portland, Me., (Address.) May 20, '11. (Date.)

The above-named appointee entered upon duty on May 5, 1911. (Date.)

(Signed:) Jno. W. McDonald,

Commander, U.S.N., Inspector 1st L.H.District.

[a] Insert here "swear" or "affirm," as the case may be.

[b] Insert here "Sworn to" or "Affirmed," as the case may be.

[c] This oath should be taken before a Justice of the Peace, Notary Public, Judge, Clerk of a Court, or any officer having authority to administer oaths, but never before a Collector of Customs, as he has no power to administer oaths in such cases. In case the officer administering the oath has no seal, his official character should be duly certified by the clerk of the proper court or the proper county clerk.

DIVISION OF APPOINTMENTS
RECEIVED
MAY 31 1911
DEP'T OF COMMERCE AND LABOR
G

NOTE CAREFULLY.

The oath may be taken any time prior to the payment of compensation, but no compensation shall be paid until the oath is executed in proper form.

A letter of transmittal (use Form 95) should accompany oath, stating the **exact** date of the entrance on duty of the appointee and the above blank also filled out and properly signed by the district officer.

Failure to fill out this oath properly will result in its return for correction.

11—944

Rec'd MAY 30 1911

PROBATIONARY APPOINTMENT

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK.
Washington

April 13, 1911.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, Third Assistant Keeper of Matinicus Rock Light Station, Maine, in the Lighthouse Service, at a salary of Four Hundred and Fifty-six dollars per annum, for a probationary period of six months, effective beginning May 1, 1911, or as soon thereafter as you enter upon duty. Retention in the service after the expiration of your probationary period shall be equivalent to absolute appointment.

(Civil-service certificate No. .....)

CARDED.

CHANCE REPORTS
MAY - - 1911
C. S. COMMISSION.

APPOINTMENT DIVISION, RECEIVED APR 14 1911 TO DISBURSING CLERK DEPT OF COMMERCE AND LABOR

By direction of the Secretary:

Respectfully,

Acting Chief Clerk.

Le
D

Appropriation:
Vice: Grover C. Wallace.
Legal Residence: Maine.

11—1304

76.

Form 73 K

ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER EMPLOYEE.

Department of Commerce and Labor
LIGHT-HOUSE ESTABLISHMENT

OFFICE OF THE CHIEF CLERK RECEIVED APR 11 1911 DEPT OF COMMERCE AND LABOR

BUREAU OF LIGHT-HOUSES APR 10 1911 DEPT OF COMMERCE AND LABOR

Office of the Inspector, 1st L. H. Dist.,
Portland, Maine,
April 7, 1911. (Date)

The Light-House Board,
Washington, D. C.

APPOINTMENT DIVISION RECEIVED APR 13 1911 DEPT OF COMMERCE AND LABOR

APPOINTMENT DIVISION RECEIVED APR 13 1911 DEPT OF COMMERCE AND LABOR

Sirs: I have the honor to recommend the (1) probationary appointment (Probationary appointment, transfer, promotion, etc.)
of (2) Mr. Joseph H. Upton (Name of person—letter for letter—as reported to Board) of (3) Maine (State of legal residence)
from (4) ---------- (Official title of present position, as Keeper, First Assistant Keeper, etc.)
at (5) ---------- (Official name of aid, as given in Light List, as Absecon Light-Station, N. J.)
at (6) $---------- (Salary now paid) to (7) Third Assistant Keeper (Official title of position, as Keeper, First Assistant Keeper, etc.)
at (8) Matinicus Rock Light-Station, Me., (Official name of aid, as given in Light List, as Absecon Light-Station, N. J.) at
(9) $456 per an. (Salary recommended), effective beginning (10) May 1, 1911. ~~(the date of his entrance on duty)~~ (or as soon thereafter as he enters on duty)
(Strike out the clause not appropriate)
in the place of (11) Mr. Grover C. Wallace (at 450 pa)
(State name of *last* person holding position)
who (12) resigns (Died, transferred, resigned, dismissed, etc.) on (13) April 30, 1911. (Last day of service)
Mr. (14) Upton stands No. (15) 1 in list of eligibles,
Class (16) I, with an average of (17) 97.8 per cent, and
was considered with (18) Messrs. Leonard G. Clark and Fred T. Waters
(19) letters of declination of ---------- herewith.

All three eligibles would accept position.

Respectfully,
Jno. D. McDonald,
Commander, U.S.N., Inspector 1st L.H.District.

APPOINTMENT DIVISION RECEIVED APR 13 1911 DEPT OF COMMERCE AND LABOR

Department of Commerce and Labor
BUREAU OF LIGHT-HOUSES
~~LIGHT-HOUSE BOARD~~

APR 10 1911, ~~190~~

Approved, and respectfully forwarded to the Honorable the Secretary of Commerce and Labor.

OFFICE OF THE CHIEF CLERK FORWARDED TO APR 11 1911 APPOINTMENTS DEPT OF COMMERCE AND LABOR

WROTE BUREAU APR 12 1911

O. Meserve
Acting Commissioner

11—2314

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION

Joseph N. Upton — (Name)

Me — (Legal Residence)

STATUS

11—8940

DESIGNATION	ACTION	SALARY	BUREAU—SERVICE—STATION	DATE OF EFFECT
3rd Asst Kpr.	Prob.	$1456	Lighthouses - Matinicus Rock Me	May 5, 1911
2d. " "	Prom	480	" "	Sept. 1, 1911
2 Asst. Kpr.	Transfer	456	" Cape Elizabeth. Me	May 1, 1912
Keeper	"	720	" Isles of Shoals - N.H.	Apr. 8, 1915
"	"	804	" " "	Jan. 1, 1916
Keeper	"	1080	" " "	Nov. 1, 1918.
"	Read. of Pay	1320	" " "	July 1, 1924
"	Act. 12/6/24	1560	" " "	Feb. 1, 1925
"	Trans-Demo.	1440	Cape Elizabeth L.S.	May 18, 1926
"		1500	" " " "	JAN 1 1927
"	COMPENSATION Act. May 28, 1928.	1560	" " " "	JUL 1 1928
"	COMPENSATION Act. July 3, 1930	1620	" " " "	JUL 3 1930

Died Jan. 14, 1934

LIGHTHOUSE SERVICE/LIFESAVING SERVICE—RECORDS CHECKED

Employee Name: UPTON, JOSEPH H. **DOB:** 1/1/1869, ME

SOUNDEX: U135

Records checked:

Agency: Commerce 6028	Area: Bay 10, Lower Catwalk	positive	negative
Agency: Commerce 6029	Area: Bay 10, Lower Catwalk	positive	negative
Agency: Old Commerce	Area: Bay 10, Lower Catwalk	positive	negative

AND

Agency: Treasury 2189	Area: Bay 13, Lower Catwalk	positive	negative
Agency: Treasury 2188	Area: Bay 13, Lower Catwalk	positive	negative
Agency: Old Treasury	Area: Bay 13, Lower Catwalk	positive	negative

If Negative:

Agency: Treasury SRCs series 1	Area: Bay 13, Lower Catwalk	positive	negative
Agency: Treasury SRCs series 2	Area: Bay 13, Lower Catwalk	positive	negative
Agency: Treasury SRCs series 3	Area: Bay 13, Lower Catwalk	positive	negative
Agency: Coast Guard SRCs	Area: Bay 13, Lower Catwalk	positive	negative

If Negative:

CMRS:	Area:	positive	negative
ARCIS:	Area:	positive	negative

Notes: **DOS: 1911 TO 1934; DOD: 1/1/1934, ME; LIGHTHOUSE SERVICE, ME**

UNITED STATES EMPLOYEES' COMPENSATION COMMISSION

WASHIN[redacted]

November 30, 1934

In reply refer to File No. 153135

Mr. [redacted]aldena Harold Upton
200 B Street
Portland, Maine

Dear Sir:

Reference is made to your claim for compensation as child over eighteen incapable of self-support, on account of the death of your father, Joseph Hale Upton, former lighthouse keeper, Cape Elizabeth Light Station, Cape Elizabeth, Maine, who was fatally injured January 13, 1934.

The evidence presented has been carefully considered by the Commission and the facts do not show that you are incapable of self-support within the meaning of the Employees' Compensation Act of September 7, 1916. The Commission, therefore, has been obliged to hold that the benefits of the Compensation Act must be denied in your case, which fact is regretted.

Very truly yours,

W. R. Carpenter

Chief Claim Examiner

VB:CT

Official copy forwarded to the Superintendent of Lighthouses, Portland, Maine.

W. R. C.

UNITED STATES EMPLOYEES' COMPENSATION COMMISSION

WASHINGTON

April 2, 1934

Act 153135
In reply refer to File No. 385181

Mrs. Mabelle S. Upton
200 B Street
Portland, Maine

Dear Madam:

Referring to your claim for compensation on account of the death of your husband Joseph Hale Upton, former lighthouse keeper, Cape Elizabeth Light Station, Cape Elizabeth,,Maine, who was fatally injured January 13, 1934, your claim has been approved and an award of $54.25 per month made to you so long as you remain unmarried. This award represents the maximum amount that can be allowed under the law.

The Independent Offices Appropriation Act for the fiscal year ending June 30, 1934, requires a deduction of 15 per cent from all payments of compensation under the Employees' Compensation Act of September 7, 1916, effective from July 1, 1933. Your compensation checks will be decreased accordingly and subsequent to July 1, 1933 your monthly compensation will be at the rate of $46.11.

A check in payment of compensation for the period January 15, 1934 to March 31, 1934, inclusive, will go forward to you on or about April 9, 1934, for $116.81. Another check will go forward to you in the amount of $200.00 covering burial expenses that may be paid in this case.

There is enclosed herewith a supply of forms to be used in claiming further compensation. A claim should be prepared by you on July 1, 1934 to cover the period April 1 to June 30, 1934, inclusive. Each claim should be acknowledged before the superintendent of your nearest postal station and forwarded to the Commission in the franked envelopes herewith. Claims should be prepared each year on the first of the following months:

January
April
July
October

covering the preceding quarter and forwarded in the foregoing manner.

Checks will be mailed to you on the first and sixteenth of each month to cover the half months just past. If your status should change, and a check reaches you in payment of compensation, you should return the same immediately to this office, accompanied by an explanation.

If claims are not prepared and forwarded by you on the foregoing dates, it will be assumed that your status has changed and that you are not, therefore, entitled to further compensation.

Your original marriage certificate which you kindly forwarded to the Commission is herewith returned.

This letter should be retained by you as evidence of the award which has been made and the instructions herein carefully complied with, in order to insure the making of prompt payments.

W. R. Carpenter

Chief Claim Examiner

VS:CT

Official copy forwarded to the Superintendent of Lighthouses, First District, Portland, Maine.

W. R. C.

GFL:CP
Enclosures

March 10, 1934

U S Employees Compensation Commission,
Washington, D. C.

With further reference to your letter of March 1, file No. 385181, in re Joseph H Upton, deceased, there are herewith the statement from Mrs. Upton requested and two different certified copies of death certificate furnished by the Town Clerk of Cape Elizabeth, Maine, one of which is identical with the one originally attached to form C. A. 4.

The Town Clerk states that these are certified copies of the death certificate which was issued. They are, at least, what is customarily accepted in this State as such.

ccb
CHARLES C BRUSH
Superintendent of Lighthouses.

COPY - CP

March 5, 1934

Mr. Charles C Brush,
Supt. of Lighthouses,
Portland, Me.

Dear Sir:

In answer to your letter of March 3, I wish to state that Mr. Upton's health at the time of his accident was good.

Because of the storm the wires were down and he was called to install the Aladdin Lamp, which is provided for such an emergency, to replace the regular equipment. He did this and returned to the house but went back into the tower to readjust the lamp which was dimming slightly. It is wholly improbable that he was carrying anything and there were no indications that such was the case. It is to be supposed that having fixed the lamp to his satisfaction he started down to put the lens in action and in doing so, slipped and fell. I found him as stated in former reports.

Yours very truly,

(SGD) MRS. MABELLE S UPTON

200 B Street

Portland,

Maine.

COPY - CP

rm E-3

RECORD OF A DEATH

Place of Death - Two Lights, Cape Elizabeth, Maine

Street No.

Name Joseph H Upton

How long a resident 8 years

Previous Residence So. Portland, Me.

Sec M Color W Married, Single, Widowed or divorced M

Deceased was husband of Mabel S Johnson

" " wife of .....

Date of Birth: Year 1868 Month Feb. Day 7

Age: Year 65 Months 11 Days 6

Occupation Light-house Keeper

Place of Birth South Portland, Maine

Name of Father David Upton

Birthplace of Father Unknown

Occupation of Father Sea Captain

Maiden Name of Mother Emma C Osgood

Birthplace of Mother Unknown

Name of Informant Mrs. Mabel S Upton

Date of Death: Year 1934 Month Jany. Day 14

Cause of Death Fractured Skull
(Compound)

Date of Onset Jany 13, 1934
Duration 6 to 8 hrs.

Contributing cause Fell down flight of stairs in light-house (Accident

Did an operation precede death? No

Was there an autopsy? No

Name of Physician (or other person) reporting said death Waldo T Skillin, M.D.

P O Address South Portland, Maine

(OVER)

ertificate of Death, issued by Waldo T Skillin, M.D.
Attending Physician

STATE OF MAINE
Department of Health

Record of Deaths.—Town of Cape Elizabeth.

Date of Death, January 14, 1934

Place of Death, Cape Elizabeth Maine

Name and Surname of Deceased, Joseph H Upton

Age.—Years, 65 Months, 11 Days, 6

Place of Birth, South Portland Maine

Sex and Condition } Male or Female, M Color, White

Single, Married or Widowed, M

Disease or Cause of Death, Fractured Skull Compound

Occupation, (Occupation of Father, if deceased is under 15 years of age,) Light-house Keeper

Name of Father, David Upton

Maiden Name of Mother, Emma C Osgood

Place of Birth.—Father, Unknown Mother, Unknown

Name and P. O. Address of Physician (or other person) reporting the death,

Waldo T Skillin M. D.

Recorded by Eleanor A Murray
Town Clerk.

Town Clerk's Office, Town of Cape Elizabeth, March 7th 1934

I Hereby Certify, *That the above is a true copy of the record;*

IN TESTIMONY WHEREOF, *I have hereunto affixed my signature, and the seal of the Town of Cape Elizabeth, this* 7th 3rd *day of* March *A. D. 1934*

Eleanor A Murray CLERK OF THE TOWN OF CAPE ELIZABETH.

I, Eleanor A Murray *above named, hereby certify, that I hold the office of Town Clerk, of the Town of Cape Elizabeth, County of Cumberland, and State aforesaid, that I have the custody of the record of deaths of said Town, and that the above is a true copy of the record of said death.*

Eleanor A Murray CLERK OF THE TOWN OF CAPE ELIZABETH.

GFL:CP

Enclosure

HOLD

March 6, 1934

Miss Eleanor A Murray,
Town Clerk,
Cape Elizabeth, Me.

My dear Madam:

I am in receipt of a certified record of death of Joseph H Upton, formerly keeper, Cape Elizabeth Lighthouse, Maine, which is a duplicate of the certificate furnished Mrs. Upton and attached by her to her claim for compensation on account of Mr. Upton's death.

I am afraid that the relative to whom this office telephones its request did not clearly understand it. The U. S. Employees' Compensation Commission has asked for a "certified copy of the death certificate", which can only be construed to mean a certified copy of the certificate filed by the attending physician. Will you kindly furnish such and pardon the trouble to which this office has put you in the matter?

An addressed envelope requiring no postage, is enclosed for your reply.

Respectfully,

CHARLES C BRUSH
Superintendent of Lighthouses

March 2, 1934
March 3, 1934

Mrs. Mabelle S Upton,
200 B Street,
Portland, Maine.

My dear Mrs. Upton:

The U S Employees Compensation Commission has requested that I obtain from you a detailed statement showing the state of Mr. Upton's health, his activities and the condition of his employment on the night of the fatal occurrence and the cause to which you attribute the fatal accident.

They have also requested me to advise whether or not he was carrying anything at the time.

Will you kindly let me have such a statement at your early convenience?

Very truly yours,

CHARLES C BRUSH
Superintendent of Lighthouses

GFL:CP
Enclosures

March 2, 1934

U S Employees' Compensation Commission,
Washington, D. C.

Sirs:

Replying to your letter of the 1st instant in re Joseph H Upton, file No. 385181, from reports made to this office it appears that Mr. Upton went to the light tower to install the kerosene "Aladdin" lamp which is used as a substitute for the incandescent electric lamp when the power supply fails. From the report of the second assistant keeper, who was on watch in the fog signal house at the time (a copy of which report is herewith), it appears that this was sometime after 9.30 P.M. Mrs. Upton, who had retired, awakened at eleven o'clock, or thereabouts, and, because she had not heard Mr. Upton return, went to the tower to see what was the matter, where she found him unconscious. The remaining facts are set forth in statements of Coast Guard men attached to form C. A. 2.

It is not believed that Mr. Upton was carrying anything as the "Aladdin" lamp had been installed and was operating. The tower steps may have been "sweating", as this is not an uncommon condition on metal steps in the winter in this climate. It may be that Mr. Upton slipped on the steps, or, again, it may be that he was temporarily blinded by looking at the lamp while installing it in lens and adjusting the flame. Then, again, he may have been temporarily overcome by the fumes from the lamp. One can only theorize as to the cause, however, but the fact remains that in some manner he lost his footing and fell down stairs, there being no evidence of ill health prior to the accident so far as this office has learned; none watever of record.

There was attached to Mrs.Upton's claim a certified copy of the death record taken from the death certificate filed with the town clerk. A certified copy of the certificate itself, will, however, be obtained and forwarded.

A copy of the first assistant keeper's report of the accident is also enclosed.

Respectfully,

CCB

CHARLES C BRUSH
Superintendent of Lighthouses

OFFICIAL CORRESPONDENCE SHOULD BE ADDRESSED TO THE COMMISSION

UNITED STATES EMPLOYEES' COMPENSATION COMMISSION

WASHINGTON

March 1, 1934

COMMISSIONERS
JEWELL W. SWOFFORD
CHAIRMAN
HARRY BASSETT
JOHN M. MORIN

WM. McCAULEY
SECRETARY

SUPT. OF LIGHTHOUSES
MAR 2 1934
PORTLAND, MAINE

Act153135

In reply refer to File No. 385181

The Superintendent of Lighthouses
First District
Portland, Maine

Dear Sir:

Reference is made to the case of Joseph H. Upton, former lightkeeper, Cape Elizabeth Light Station, South Portland, Maine, who was fatally injured January 13, 1934.

Request is made that the Commission be furnished with a more detailed account of the circumstances surrounding this fatal occurrence with special reference to condition of the tower steps, whether he was carrying anything at the time and cause to which the fall has been attributed.

Request is also made that the Commission be furnished with a detailed statement from the decedent's widow showing his state of health, activities and conditions of employment on the night of the fatal occurrence and cause to which she attributes the fatal accident. If any of the decedent's associates were present at the time the accident occurred or shortly thereafter, similar statements should be obtained from them for completion of the Commission's record.

The Commission should also be furnished with a certified copy of the death certificate which was issued.

Very truly yours,

W. R. Carpenter

Chief Claim Examiner

VS:CT

Office of Superintendent of Lighthouses, 1st Dist.,
Portland, Maine. February 14, 1934.

Forwarded.

CHARLES C. BRUSH
Superintendent of Lighthouses

EMPLOYEE'S NOTICE OF INJURY AND ORIGINAL CLAIM FOR COMPENSATION AND MEDICAL TREATMENT

(To be submitted to the official superior by every employee injured in the performance of his duty, or some one on his behalf, within forty-eight hours after the injury. This notice shall be given by delivering it personally to the official superior or by depositing it in the mail properly stamped and addressed to the official superior. It should be retained by the official superior unless the injury causes disability for work beyond the day or shift in which the injury occurred, or results in any charge against the Commission for medical expense, when it should be forwarded to the United States Employees' Compensation Commission with report of injury, Form C. A. 2.)

South Portland (City or town), Maine (State)

R.F.D.#1 (Street and number)

Jan. 16 (Date of this notice), 1934

I HEREBY CERTIFY that ~~I~~ Joseph H. Upton was injured in the performance of ~~my~~ his duties on January 13 (Date), 1934

Saturday (Day of week), - (Hour, a. m. or p. m.) m.

1. The injury occurred at at Cape Elizabeth Light Station (Give name of establishment where employed)

in the following manner: Fall in light tower.

2. Cause of injury Not known.

3. Nature of injury Cut approximate 1-1/2 to 2 inches long, base of skull. Badly bruised back of head, left side of forehead and face.

4. Names of witnesses to injury:

None

5. If this notice was not given within 48 hours after the injury, explain failure to give notice and state the name of the person to whom first notice was given and date:

About 6:00 A.M. Jan. 14, 1934. By telephone.

This injury was not caused by ~~my~~ his willful misconduct, intention to bring about the injury or death of ~~myself~~ him or of another, nor by ~~my~~ his intoxication, and I hereby make claim for compensation and medical treatment to which I may be entitled by reason of the injury sustained by me.

(Name) JOSEPH H. UPTON

By: (Sgd.) A. S. Cheney
1st Asst.Keeper, in charge.

Injured employee died from injury without having regained consciousness.

Before compensation is paid, written claim on Form C. A. 4 must be submitted to the Commission

U. S. GOVERNMENT PRINTING OFFICE: 1929 9—584

FILES COPY:
Read, initial,

CLAIM FOR COMPENSATION ON ACCOUNT OF DEATH

TO BE SUBMITTED TO THE UNITED STATES EMPLOYEES' COMPENSATION COMMISSION, WASHINGTON, D. C., through official channels, WITHIN ONE MONTH after death occurred. If not so submitted, an explanation of the delay must accompany the claim.

The persons to whom compensation may be paid are:

(a) The widow who was living with or dependent for support upon the deceased employee at the time of his death.
(b) The widower who was dependent upon the deceased employee at the time of her death.
(c) The unmarried children under 18, and those over 18 who are incapable of self-support.
(d) Parents partially or wholly dependent upon the deceased employee for support.
(e) Unmarried brothers, sisters, or grandchildren under 18 years of age, and those over 18 who are incapable of self-support, and who were wholly or partially dependent upon the deceased employee.
(f) Grandparents wholly or partially dependent upon the deceased employee.

Under the law, the term "child" includes stepchildren, adopted children, and posthumous children, but does not include married children. The terms "brother" and "sister" include stepbrothers and stepsisters, half brothers and half sisters, and brothers and sisters by adoption, but do not include married brothers or sisters. All of the above terms and the term "grandchild" include only persons who at the time of the death of the deceased employee are under 18 years of age or over that age and incapable of self-support. The term "parent" includes step-parents and parents by adoption. The term "widow" includes only the decedent's wife living with or dependent for support upon him at the time of his death. The term "widower" includes only the decedent's husband dependent for support upon her at the time of her death. The terms "adopted" and "adoption" as used in this law include only legal adoption prior to the time of the injury.

The claim should be signed by the person making the claim or his duly authorized representative. There should be given the names and addresses of all persons who may be entitled to compensation on account of death, together with the address of the person making the claim, which should be sworn to by the person entitled to compensation, or by the person authorized to act on his behalf.

Oaths of claimants residing in foreign countries should be made before a United States consular officer or secretary of legation; or, if before a local official, a certificate of such United States consular official or secretary of legation showing the authority of the local official to administer oaths should be annexed.

A certified copy of the death certificate should accompany this claim. If, for any reason, it can not be secured, give full explanation at the bottom of this sheet.

If the relationship to the decedent of any person entitled to claim compensation is that of adoption, a certified copy of the order of adoption should accompany this claim.

Itemized bills in duplicate covering the medical and burial expenses should be submitted with the claim.

1. Full name of deceased employee Joseph Hale Upton
2. Age 64 3. Sex Male 4. Occupation Lighthouse Keeper
5. Was deceased able to speak English? Yes 6. If not, what language? ---
7. Time of injury: (a) January (Month) (b) 13 (Date) (c) 1934 (Year) (d) 11.30 p. m. (Hour, a. m. or p. m.)
8. Place where injury occurred Cape Elizabeth Light Station, Cape Elizabeth, Maine (Place or establishment, as "post office," "navy yard," etc.)
9. Nature and extent of injury Compound fracture base of skull. Fracture Lt. frontal bone. Scalp wound 3 in. long occipital part of head.
10. Date of death January 14, 1934
11. Place where death occurred Dwelling at Cape Elizabeth Light Station, Maine
12. Rate of pay of deceased employee at time of injury which resulted in death, $1620.00 per annum and ~~subsistence~~ quarters valued at $240.00 per annum
13. Relationship to the deceased of the person claiming to be entitled to compensation Widow
14. Did deceased leave any other relatives entitled to compensation? Yes If so, give names, addresses, ages, and relationship below.

(See instructions at top of form for classes of persons entitled to compensation)

Name	*Address*	*Age*	*Relationship*
Waldena Harold Upton	200 B. Street, Portland, Maine	38	Son

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Mabelle Sophronia Upton
MABELLE SOPHRONIA UPTON

Address: 200 B. St., Portland, Maine
(Street and number)

Maine
Cumberland } ss:
(City) (State)

Subscribed and sworn to before me this 14th day of February, 19 34

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

Chief Clerk, First Lighthouse district
(Title) (In and for)

C. A. 5
Revised to April 12, 1919

FILES COPY
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CLAIM FOR COMPENSATION ON ACCOUNT OF DEATH

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Name	*Address*	*Age*	*Relationship*
Waldena Harold Upton	200 B. Street, Portland, Maine	38	Son

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Mabelle Sophronia Upton
MABELLE SOPHRONIA UPTON

Address: 200 B. St., Portland, Maine
(Street and number)

Maine
Cumberland } ss:

(City) (State)

Subscribed and sworn to before me this 14th day of February, 1934

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

GEORGE F. LAURITZEN
Chief Clerk, First Lighthouse District
(Title) (In and for)

C. A. 5
Revised to April 12, 1919

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13. Relationship to the deceased of the person claiming to be entitled to compensation Widow
14. Did deceased leave any other relatives entitled to compensation? Yes If so, give names, addresses, ages, and relationship below.
    (See instructions at top of form for classes of persons entitled to compensation)

Name	*Address*	*Age*	*Relationship*
Waldena Harold Upton	200 B. Street, Portland, Maine	38	Son

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Mabelle Sophronia Upton
MABELLE SOPHRONIA UPTON

Address: 200 B. St., Portland, Maine (Street and number)

Maine
Cumberland } ss: (City) (State)

Subscribed and sworn to before me this 14th day of February, 19 34

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

GEORGE F. LAURITZEN
Chief Clerk, First Lighthouse District
(Title) (In and for)

C. A. 5
Revised to April 12, 1919

AFFIDAVIT IN SUPPORT OF CLAIM OF WIDOW OR WIDOWER

(If there is no surviving widow or widower, but there are children living under 18 years of age, the guardian of such children should fill out first and second pages of this form, omitting only information called for by questions 2, 3, 4, and 5 on this page)

NOTICE: **Section 39 of the Compensation Act of September 7, 1916, provides that whoever makes, in any claim for compensation, any statement knowing it to be false, shall be guilty of perjury and shall be punished by a fine of not more than $2,000 or by imprisonment for not more than one year, or by both such fine and imprisonment.**

AFFIDAVIT IN SUPPORT OF CLAIM under the provisions of the Compensation Act of September 7, 1916, is hereby made by the undersigned:

1. Full name of deceased employee Joseph Hale Upton

2. Date of marriage to deceased employee March 5, 1892
(A certified copy of marriage certificate should be attached hereto)

3. Date of birth of claimant: (a) May (Month) (b) first (Date) (c) 1875 (Year)

4. Were you living with deceased at the time of his death? Yes
(If not, you should fill out "Affidavit in support of claim of dependents other than widow or widower and children under 18," except questions 1, 2, 3, and 9 on page 3 of this blank)

5. Number of children now living who are the issue of said marriage Four

6. Number of children now living who were under 18 years of age at time of decedent's death None

7. Full names and dates of children mentioned in reply to Question 6:
(A certified copy of the birth record of each child named herein should accompany this claim)

Name	*Date of birth*

8. Have the above-named children a guardian? ..... 9. If so, give name and address of such guardian of each child (and furnish certified copy of appointment as guardian) .....

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Mrs. Mabelle S. Upton

Address: 200 B. Street
(Street and number)

Portland, Maine
(City) (State)

Maine
Cumberland } *ss:*

Subscribed and sworn to before me this 14th day of February, 19 34

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

GEORGE F. LAURITZEN
Chief Clerk, First Lighthouse District.
(Title) (In and for)

U. S. GOVERNMENT PRINTING OFFICE: 1930

AFFIDAVIT IN SUPPORT OF CLAIM OF DEPENDENTS OTHER THAN WIDOW OR WIDOWER AND CHILDREN UNDER 18

NOTICE. Section 39 of the Compensation Act of September 7, 1916, provides that whoever makes, in any claim for compensation, any statement knowing it to be false, shall be guilty of perjury and shall be punished by a fine of not more than $2,000 or by imprisonment for not more than one year, or by both such fine and imprisonment.

AFFIDAVIT IN SUPPORT OF CLAIM under the provisions of the Compensation Act of September 7, 1916, is hereby made by the undersigned:

Full name of decedent Joseph Hale Upton

Name of claimant answering questions below Waldena (Dean) H. Upton

1. What was the date of your birth? February (Month) 7 (Date) 1895 (Year)
   (If under legal age, claim should be made by guardian)
2. What is your relationship to decedent? Son
3. Were you wholly or partially dependent upon decedent for support at the time of his death? Wholly
4. What was amount contributed by decedent for your support during the twelve months immediately preceding his death? Shelter, board and clothing
5. Did the decedent live with you during the year immediately preceding his death? Yes If so, what, if anything, did he pay you in money or in work for board and room in addition to the contribution for your support stated above? I lived in his household.
6. If no fixed amount was paid for board and room furnished, what is the fair value of such board and room? -
7. Were you employed during the twelve months immediately preceding the decedent's death? No If so, give occupation ____, rate of pay ____, and total earnings ____
8. What was your total income from all other sources (except the contribution of decedent and your wages) during the twelve months immediately preceding the death of the decedent? None
9. What persons, if any, were wholly or partially dependent upon you and were supported by you out of this income? (Give relationship of each person.) None
10. If married, and your husband (or wife) was employed during the twelve months immediately preceding the death of the decedent, give age ____, occupation ____, rate of pay ____ and total earnings ____
11. If married, what, if any, income did your husband (or wife) have other than already stated by you during the twelve months immediately preceding the death of the decedent? ____
12. If you claim dependency for support on the decedent at the time of his death, state in detail any other circumstances which would tend to show such dependency Physically unfit because of deformity of feet.
13. What is your total present income per month from all sources? None
14. What property did you own at the time of the death of the decedent?
    Value and location of real estate None
    Value of personal property ____
15. What, if any, property in addition to the above do you own now? None
16. What property does your husband (or wife) own other than that described in Questions 14 and 15 above? (Give value and location.) ____

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Waldena H. Upton

Address: Cape Elizabeth (Street and number)
South Portland (City), Maine (State)

Maine
Cumberland } ss:

Subscribed and sworn to before me this 14th day of February, 1934

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

Chief Clerk, First ~~Lighthouse~~ District.
(Title) (In and for)

GEORGE F. LAURITZEN

PHYSICIAN'S CERTIFICATE

1. Full name of deceased employee Joseph Hale Upton

2. Dates on which employee was attended by you Jan. 14. 1934

3. Date of employee's death Jan. 14, 1934

4. Direct cause of death

Compound fracture - base of skull

5. Contributory cause of death

6. Was history of injury given in this case? Yes If so, state it briefly Slipped-striking forehead and then pitched headlong down flight of stairs in lighthouse.

7. In your opinion, was the death of the employee due to such injury? Yes

8. Remarks:

I HEREBY CERTIFY that the answers to the above questions are true to the best of my knowledge and belief.

(Sgd.) Waldo T. Skillin, M.D.
(Signature of certifying physician)

Address 448 Broadway
(Street and number)

South Portland Maine
(City) (State)

Date of this certificate, Feb. 11, 1934

It is important that above certificate be furnished, but if for any cause it can not be secured, give full explanation below and submit such other proof of death as may be obtainable.

CERTIFICATE OF OFFICIAL SUPERIOR

(If report of death on Form No. C. A. 3 has not been forwarded to the Commission, such report should be made and accompany this claim for compensation)

I HEREBY CERTIFY that the person on account of whose death the foregoing claim is made was employed by the United States when injured and official report of death was made on February 14, 1934.
(Date)

(If any circumstances have arisen which alter the conclusions stated in the official report of death, or if the official superior disagrees with any of the statements made in the claim for compensation, it is requested that a full explanatory statement be made below.)

Remarks: Not competent to pass on the merits of answer to question 12 in affidavit of Mr. Waldena H. Upton.

It is understood that Mrs. Upton has as yet been unable to obtain a bill from the attending physician but that she will submit a supplemental claim to cover same when it is received.

Signed this 27th day of February, 1934

at Portland, Maine.

Charles C Brush
(Signature of official superior)

CHARLES C. BRUSH
Superintendent of Lighthouses
(Title)

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FILES COPY
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    (See instructions at top of form for classes of persons entitled to compensation)

Name	*Address*	*Age*	*Relationship*
Waldena Harold Upton	200 B. Street, Portland, Maine	38	Son

I HEREBY CERTIFY that each and every statement set forth above is true to the best of my knowledge and belief.

Name: (Sgd.) Mabelle Sophronia Upton
MABELLE SOPHRONIA UPTON

Address: 200 B. St., Portland, Maine (Street and number)

Maine
Cumberland } ss:

(City) (State)

Subscribed and sworn to before me this 14th day of February, 19 34

(Sgd.) George F. Lauritzen
(Signature of official administering oath)

GEORGE F. LAURITZEN
Chief Clerk, First Lighthouse District
(Title) (In and for)

C. A. 5
Revised to April 12, 1919

C O P Y - CLG

STATE OF MAINE

RECORD OF DEATHS.--TOWN OF CAPE ELIZABETH.

Date of Death, January 14, 1934

Place of Death, Cape Elizabeth, Maine

Name and Surname of Deceased, Joseph H. Upton

Age.--Years, 65 Months, 11 Days, 6

Place of Birth, South Portland, Maine

Sex and) Male or Female, M Color, Wht

Condition) Single, Married or Widowed, M

Disease or Cause of Death, Fractured Skull from fall down stairs in Light-house

Occupation, (Occupation of Father, if deceased is under 15 years of age,) Light-house Keeper

Name of Father, David Upton

Maiden Name of Mother, Emma C. Osgood.

Place of Birth.--Father, Unknown Mother, Unknown

Name and P.O.Address of Physician (or other person) reporting the death, Waldo T. Skillin, M. D.

Recorded by Eleanor A. Murray
Town Clerk.

- - - -

TOWN CLERK'S OFFICE, TOWN OF CAPE ELIZABETH, Feby 7,1934

I hereby Certify, That the above is a true copy of the record;

In Testimony Whereof, I have hereunto affixed my signature, and the seal of the Town of Cape Elizabeth, this 7th day of February A.D. 1934

(Sgd.) Eleanor A. Murray, Clerk of the Town of Cape Elizabeth.

I, Eleanor A. Murray, above named, hereby certify, that I hold the office of Town Clerk, of the Town of Cape Elizabeth, County of Cumberland, and State aforesaid, that I have the custody of the record of deaths of said Town, and that the above is a true copy of the record of said death.

(Sgd.) Eleanor A. Murray, Clerk of the Town of Cape Elizabeth

C O P Y - CLG

STATE OF MAINE

RECORD OF DEATHS.--TOWN OF CAPE ELIZABETH.

Date of Death, January 14, 1934

Place of Death, Cape Elizabeth, Maine

Name and Surname of Deceased, Joseph H. Upton

Age.--Years, 65 Months, 11 Days, 6

Place of Birth, South Portland, Maine

Sex and) Male or Female, M Color, Wht

Condition) Single, Married or Widowed, M

Disease or Cause of Death, Fractured Skull from fall down stairs in Light-house

Occupation, (Occupation of Father, if deceased is under 15 years of age,) Light-house Keeper

Name of Father, David Upton

Maiden Name of Mother, Emma C. Osgood

Place of Birth.--Father, Unknown Mother, Unknown

Name and P.O.Address of Physician (or other person) reporting the death, Waldo T. Skillin, M. D.

Recorded by Eleanor A. Murray
Town Clerk.

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C O P Y - CLG

STATE OF MAINE

RECORD OF DEATHS.--TOWN OF CAPE ELIZABETH.

Date of Death, January 14, 1934

Place of Death, Cape Elizabeth, Maine

Name and Surname of Deceased, Joseph H. Upton

Age.--Years, 65 Months, 11 Days, 6

Place of Birth, South Portland, Maine

Sex and) Male or Female, M Color, Wht

Condition) Single, Married or Widowed, M

Disease or Cause of Death, Fractured Skull from fall down stairs in Light-house

Occupation, (Occupation of Father, if deceased is under 15 years of age,) Light-house Keeper

Name of Father, David Upton

Maiden Name of Mother, Emma C. Osgood

Place of Birth.--Father, Unknown Mother, Unknown

Name and P.O.Address of Physician (or other person) reporting the death, Waldo T. Skillin, M. D.

Recorded by Eleanor A. Murray
Town Clerk.

- - - -

TOWN CLERK'S OFFICE, TOWN OF CAPE ELIZABETH, Feby 7,1934

I hereby Certify, That the above is a true copy of the record;

In Testimony Whereof, I have hereunto affixed my signature, and the seal of the Town of Cape Elizabeth, this 7th day of February A.D. 1934

(Sgd.) Eleanor A. Murray, Clerk of the Town of Cape Elizabeth.

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(Sgd.) Eleanor A. Murray, Clerk of the Town of Cape Elizabeth

C O P Y - CLG

SEVEN HUNDRED AND FORTY NINE CONGRESS STREET

PORTLAND, MAINE. January 27, 1934

Mrs. Mabel S. Upton, Two Lights, Cape Elizabeth, Maine

TO HAY AND PEABODY, DR.

TO FUNERAL EXPENSES

Capt. Joseph H. Upton 1/16/34

$378.00

Received Payment February 2, 1934.

(Sgd.) Hay & Peabody,

By (Sgd.) K. Palmer
Bookkeeper

Casket	228.00	
Outside Case	15.00	
Removal to Rooms	5.00	
Embalming	25.00	
Laying Out, Dressing	5.00	
Hearse to Cemetery	10.00	
Funeral Notices & Permit	1.00	
4 Limousines	36.00	
Services of Director & Assistants	15.00	340.00
Cash Disbursements for your convenience:		
Colonial Spray	15.00	
Services of Minister	5.00	
Opening Grave	18.00	38.00
		$378.00

C O P Y - CLG

THIS CERTIFIES

That JOSEPH H. UPTON and

Miss BELLE S. JOHNSON

WERE BY ME UNITED IN

MARRIAGE

At SOUTH PORTLAND according to the

Laws of the STATE of MAINE

On the FIFTH day of MARCH

In the Year of Our Lord 1892

(Sgd.) T. F. Jones

Pastor of M. E. Church

- - - - - - - - - -

Office of Superintendent of Lighthouses,
1st District, Portland, Me., February 14, 1934

Certified a true copy of original, which was presented to me.

GEORGE F. LAURITZEN
Chief Clerk

Portland, Maine, February 14, 1934

GFL:CLG

4-5173

February 5, 1934

Dr. Waldo T. Skillin,
448 Broadway,
South Portland, Maine.

My dear Sir:

On January 27th, I forwarded, for insertion of attending physician's certificates, forms C.A. 2 and C.A. 5, relating to the injury sustained by Joseph H. Upton, former keeper of Cape Elizabeth Light Station, Maine, which forms have not yet been received back.

As Mrs. Upton's claim for compensation on account of Mr. Upton's death must be submitted before February 14, 1934, will you not kindly expedite action in the matter?

Respectfully,

CCB

CHARLES C. BRUSH
Superintendent of Lighthouses

to

GFL:CLG
Enclosures.

February 2, 1934

Mrs. Mabelle S. Upton,
200 B Street,
Portland, Maine.

My dear Mrs. Upton:

With reference to your letter of the 30th ultimo, I am forwarding herewith a voucher to be signed by you and a form of claim for the $52.15 due Mr. Upton at his death.

The form 1055 should be filled in as to paragraph 6 (a to j), and the joint affidavit of yourself and the two corroborating witnesses executed before a Notary Public. The instructions on the form should be carefully read and it is suggested that the assistance of someone familiar with such matters be obtained in executing the form.

Both voucher and claim should be signed by you as "Mabelle S. Upton" where indicated by red pencil check marks.

The voucher and claim should be returned promptly. When received they will be forwarded to Washington, D.C. for payment of the amount due.

Respectfully,

CHARLES C. BRUSH
Superintendent of Lighthouses

Supt.
Asst. Engr.
Chief Clerk
1st Clerk
Jun. Engr.
2nd Clerk
3rd Clerk
4th Clerk
Messenger
Portland S. H.
L D 1st Depot
Hibiscus
Ilex
Call-up
Files

Jan. 30, 1934

SUPT. OF LIGHTHOUSES
JAN 31 1934
1ST DIST. PORTLAND, MAINE

Mr. Thomas Sampson
Asst. Supt. Lighthouses
Portland, Maine -

Dear Mr. Sampson:

I wish to thank you for your kindness and interest in my welfare. I deeply appreciate the consideration shown in the things you have done.

Mr. Upton left no will and administration of his estate will not be sought.

I shall give my immediate attention to whatever papers you send.

Thanking you again for your courtesy to me, I am

Very sincerely yours

(Mrs.) Mabelle S. Upton
200 B Street
Portland, Me.

Sit up from
10 to 5 Mrs. W's.
Signature.

GFL:CLG

January 27, 1934.

Dr. Waldo T. Skillin,
448 Broadway,
South Portland, Maine.

My dear Sir:

I am forwarding herewith report I am required to make of the injury sustained by Joseph H. Upton, former Keeper, Cape Elizabeth Light Station, Maine, with request that you kindly execute the attending physician's statement on the reverse side of the form. I am also enclosing a claim for compensation on account of death, which Mrs. Upton will no doubt desire to execute, with request that you kindly execute the physician's certificate on the last page thereof.

I would appreciate the prompt return of these forms, as Mrs. Upton's claim must be submitted to Washington not later than February 14, 1934.

An addressed envelope, requiring no postage, is enclosed for the return of the forms.

For and in the absence of the Superintendent:

Respectfully,

THOMAS SAMPSON
Assistant Superintendent

GFL:CLG

January 27, 1934.

Mrs. Mabelle S. Upton,
200 B. St.,
Portland, Maine.

My dear Mrs. Upton:

There was due Mr. Upton as accrued salary to the close of January 14, 1934, the sum of $52.15. This office is not authorized to pay this money as all such accounts are settled by the General Accounting Office, Washington, D. C., after submission of a prescribed form of claim therefor. The amount is essentially payable to the estate of Mr. Upton, but if he left no will, or if there will be no administration of his estate, the amount may be paid to you as next of kin.

If you will kindly advise me whether or not Mr. Upton left a will, or, if he left no will, whether or not administration of his estate will be sought, I will assist you, or the executor or administrator of his estate, in the preparation of the necessary claim in every way possible.

Under the terms of the Act of September 7, 1916, known as the "Federal Compensation Act", you are entitled to make a claim for compensation on account of Mr. Upton's death, and I have taken steps to prepare such a claim in so far as I have knowledge of the facts. The form of claim has been forwarded to Dr. Skillin for his certificate, which it was necessary for me to have in order to enable me to make the required report of the injury to Mr. Upton. Upon its return, it will be forwarded to you with complete instructions for its preparation. As certified copies of the death certificate and of the marriage certificate of you and Mr. Upton will be required to support this claim, it is suggested that you take immediate steps to obtain them in order that the submission of the claim may not be delayed, as the law requires that it be submitted within one month following death.

If there is anything that this office can do to assist you in these matters, do not hesitate to call upon us.

For and in the absence of the Superintendent:

Sincerely yours,

THOMAS SAMPSON
Assistant Superintendent.

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

JAN 19 1934

FIRST DISTRICT

Cape Elizabeth, Light Station
(STATION, DEPOT, OR VESSEL)

January - 16, 1934

HOLD
Call-up file

SUPERINTENDENT OF LIGHTHOUSES,
Portland, Maine.

Sir: On or about 1150 P.M. January 13, 1934 Mrs. J. H. upton tryed to get me on the telephone to tell me that her husband, Mr. upton had fallen in the light Tower. But by the Thelephone being out she could not get me. So she called coast guard for Help. about 1215 am of January 14-1934 Earl E. Mclaughlin came to the House and called me, arriving at Mr. upton about 1230 am January-14-1934 found Mr. upton lying on the Bed in an unconscious condition, where coast guad. men Had Braught Him from the Tower.

A. S. Chaney
1st asst.

GFL:CP
Enclosures 7

January 15, 1934

A S Cheney, Keeper in Charge,
Cape Elizabeth LH., Me.

1. Kindly submit a full written report of the circumstances surrounding the death of Mr. Upton, stating all facts known to you, to Mrs. Upton, or to the members of the Coast Guard who responded to her call for assistance.

2. There are herewith forms C. A. 1, 2 and 3, to be filled out by you and submitted. These are to cover the case in the event that Mrs. Upton submits a claim for compensation. Form C. A. 1 has been amended to cover the case, and it should be signed by you, with a statement that Mr. Upton did not regain consciousness before he died. Only questions 21, 22, 23, 27, 28, 29, 30, 31, 33, 34, 35, 36 and 37 8 form C.A.2 should be filled in by you and the report should not be signed. Statement of witnesses should be obtained on the reverse side of the form. Although no one actually saw the accident, those who first knew about it (Mrs. Upton and the first Coast Guard man who arrived) should state how they found Mr. Upton and the conditions they saw which might throw light on the manner in which the accident occurred. The report of the physician who attended him should also be obtained on the reverse side of the form.

3. Questions 21, 22 and 23 only of form C. A. 3 should be inserted by you and the report should not be signed.

4. These forms should be submitted at the earliest possible date consistent with due respect for the feelings of Mrs. Upton, and the strain under which she must be laboring. You can send form C. A. 1 right away, however, as you probably have all necessary information for this report.

For and in the absence of the Superintendent:

LAURITZEN
Chief Clerk

Form 93

NOTICE OF ~~RESIGNATION~~ DEATH

GFL:CP

DEPARTMENT OF COMMERCE

LIGHTHOUSE SERVICE

OFFICE OF SUPERINTENDENT OF LIGHTHOUSES, First DISTRICT,

Portland, Maine, January 15, 1934. , 19

COMMISSIONER OF LIGHTHOUSES:

Herewith is transmitted the notice of death of the following-named employee:

Name, Joseph H Upton Position, Keeper

Legal residence, Maine Station, Cape Elizabeth LH., Me.

Salary, $1620 per ~~annum~~ per

~~The acceptance of this resignation is recommended effective at the close of~~

This employee has not taken leave in excess of the accrued rate.

Remarks: Death occurred at about 5 a.m., Sunday, January 14, 1934. Mr. Upton had gone to install the Aladdin auxiliary light in tower upon failure of commercial electric current at about 9.30 p.m., Saturday, January 13, and when he had not returned at 11.30 p.m., Mrs. Upton went to see what what the trouble, and found his body. He had evidently fallen down the stairs and suffered a fracture of the skull. He died without having regained consciousness. Further report will be submitted when more details are learned.

Next of kin: Mrs. Mabelle S Upton, widow, Cape Elizabeth LH., R. F. D., South Portland, Maine.

For and in the absence of the Superintendent:

LAURITZEN
Chief Clerk.

BUREAU OF LIGHTHOUSES

WASHINGTON

Submitted to the Secretary of Commerce,

11—4623

Supt.
Asst. Engr.
Chief Clerk
1st Clerk
Jun. Engr.
2nd Clerk
3rd Clerk
4th Clerk
Messenger
Portland S. H.
L D 1st Depot
Hibiscus
Ilex
Call-up

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE



FIRST DISTRICT

Cape Elizabeth
(Station, Depot, or Vessel)

January 23, 1934

SUPERINTENDENT OF LIGHTHOUSES,
Portland, Maine.

Sir: dis request of, second witnesse on form C.A. 2. R. Dennerson of The coast guard was The one this and He said his statment would be the same as he sent in.

A. S. Chaney

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE



FIRST DISTRICT

Cape Elizabeth Light Station
(Station, Depot, or Vessel)

January 14th 1934

SUPERINTENDENT OF LIGHTHOUSES,
Portland, Maine.

Sir:

This is to certify that I (William F. Dawes) had the 8 P.M. to 12 mid. watch on the night of Jan. 13th and was in the fog signal house running the fog signal as it was storming and thick weather.

At 9-30 P.M. the electric lights went out and I lighted a lantern and ran over to the C. G. Station and telephoned to the keeper, Mr. Upton, to tell him that the power was off and he answered right away and said, All right I am going right up to put in the substitute light.

I returned at once to the fog signal house and stayed until 12-20 a.m. when Clyde Trafton of the Cape Elizabeth C. G. Station came in and told me that the keeper had had a fall and was badly hurt and that Mr. Cheney the 1st Asst. would relieve me as soon as possible.

At 12-45 Mr. Cheney came in and said that the keeper was badly hurt and that he was unconcious.

I went right up to the keepers house at that time and saw him lying unconcious on a bed and I asked Mrs. Upton if I could help in any way and she said that there was nothing I could to as the Dr. was there then and was doing all that could be done.

W. F. Dawes 2nd Asst.

Cape Elizabeth South Portland, Maine.

First 15 January 34.

To whom it may concern:

This is to certify that about 11:50 p.m. January 13, 1934, a telephone call was received from Mrs J.H.Upton, residing at Cape Elizabeth Light Station, stating that her husband Mr. J.H.Upton, had fallen in the light tower, and was lying on the floor bleeding badly. Mrs Upton requested help in the case. Proceeded in response to the request, arriving in the light tower at about 12:02 a.m. 14 January, 1934, shortly after the arrival of R.G.Dennison and Earl E.McLaughlin, found Mr Upton lying on the floor of the tower, in a pool of blood, unconscious but breathing. With the assistance of R.G.Dennison and Earl E.McLaughlin, Mr Upton was removed from the tower and placed on a bed in the dwelling.

Melcher F. Beale

Melcher F.Beale.
B.M.1c (L) U.S.Coast Guard.

Cape Elizabeth South Portland, Maine.

First 15 January 34.

To whom it may concern:

This is to certify that about 11:50 p.m. January 13, 1934, a telephone call was received from Mrs. J.H.Upton, residing at Cape Elizabeth Light Station, stating that her husband Mr. J.H.Upton, had fallen in the light tower, was lying on the floor, and bleeding dadly. Mrs Upton requested help in the case. Proceeded in response to the request in company with R.G.Dennison, and arrived at about 12 Midnight and found Mr Upton lying on the floor of the tower in a pool of blood, in an unconsious condition, but breathing. Assisted R.G.Dennison,Oand M.F.Beale, to remove Mr Upton from the tower to his bedroom .

Earl E. McLaughlin

Earl E.McLaughlin.
Surfman U.S.C.G.

Cape Elizabeth

South Portland, Maine.

First

15 January 34.

To whom it may concern:

This is to certify that about 11:50 p.m. January, 13, 1934, a telephone call was received from Mrs J.H.Upton, residing at Cape Elizabeth Light Station, stating that her husband, Mr. J.H.Upton, had fallen in the light tower, and was lying on the floor bleeding badly. Mrs Upton requested help in the case. Proceeded in response to the request in company with Earl E. McLaughlin, and arrived at about 12 Midnight, found Mr Upton lying on the floor of the tower in a pool of blood, in an unconscious condition, but breathing. Assisted M.F.Beale and Earl E.McLaughlin, to remove Mr Upton, from the tower to his bedroom.

Roscoe G Dennison

Roscoe G.Dennison.
Mo.M.M.1c (L). U.S.C.G.

REPORT OF TERMINATION OF TOTAL OR PARTIAL DISABILITY.

(Cross out one.)

[To be forwarded to the UNITED STATES EMPLOYEES' COMPENSATION COMMISSION, WASHINGTON, D. C., through official channels, as soon as disability from injury terminates. This form to be submitted for each injury resulting in any disability, whether or not employee makes claim for compensation.]

1. Department Commerce (War, Navy, etc.) 2. Bureau or office Lighthouse Service (Engineer, Navigation, etc.)
3. Place of employment Cape Elizabeth Light Station, R.F.D., South Portland, Me. (Arsenal, Navy Yard, etc.) (City or town.) (State.)
4. Full name of injured employee
5. Time of injury ..... (Date.), 19 ..... (Day of week.) ..... (Hour, a. m. or p. m.) m.
6. Time employee stopped work ..... (Date.), 19 ..... (Day of week.) ..... (Hour, a. m. or p. m.) m.
7. Time employee's pay stopped ..... (Date.), 19 ..... (Day of week.) ..... (Hour, a. m. or p. m.) m.
8. First day employee was able to resume work ..... (Date.), 19 ..... (Day of week.) ..... (Hour, a. m. or p. m.) m.
9. Did employee return to the same work and at same rate of pay after termination of disability? ..... If so, when? ..... If not, state character of work performed upon return to duty and rate paid employee for such work .....
10. Actual time disabled (including Sundays and holidays) ..... days.
11. Number of days for which employee would have received pay had he not been disabled ..... days.
12. If employee was receiving subsistence as part of his wages, was such subsistence furnished during entire period of disability? ..... If not, give dates on which subsistence was not furnished .....
13. Has employee been paid for any portion of above absence on account of—
    (a) Annual leave? ..... (Give exact dates.)
    (b) Sick leave? ..... (Give exact dates.)
    (c) Any other reason .....
14. Nature of injury .....
15. Remarks .....

[The following information is to be furnished *only* in case of death resulting from an injury sustained while in the performance of duty. If death results immediately, or if no Report of Injury has previously been submitted, such report, on Form C. A. 2, should be forwarded herewith.]

REPORT OF DEATH

16. Full name of deceased employee Joseph H. Upton
17. Time of death January 14 (Date.), 19 34 Sunday (Day of week.) 5:45 A. m. (Hour, a. m. or p. m.)
18. Time employee's pay stopped January 14 (Date.), 1934 Sunday (Day of week.) 12 p m. (Hour, a. m. or p. m.)
19. Place of death Cape Elizabeth Lt. Station, R.F.D., So. Portland, Maine. (Name of hospital, establishment, etc.) (City or town, and State.)
20. Immediate cause of death
    ~~Compound fracture, base of skull.~~
21. Widow of deceased employee Mabelle Sophronia Upton (Give full name.) 200 B. St., Portland, Me. (Address.)
22. Children of deceased employee under 18 years of age, or those over 18 who are incapable of self-support:

Name.	*Age.*
Waldena Harold Upton	38 yrs.

23. Names, relationship, and addresses of all other persons known to be dependent, in any degree, upon decedent at time of death:

Name.	*Relationship.*	Address.
None		

Signed this 14th day of ~~January~~ February, 19 34
at Portland, Maine.

CHARLES C. BRUSH
(Official superior.)
Superintendent of Lighthouses
(Title.)

C. A. 3
Revised to June 3, 1925

(All forwarding indorsements to be made on reverse side of this sheet.)

9—629

GOVERNMENT PRINTING OFFICE

OFFICIAL SUPERIOR'S REPORT OF INJURY

[To be submitted to UNITED STATES EMPLOYEES' COMPENSATION COMMISSION, Washington, D. C., as soon as practicable after any injury to a civil employee of the United States sustained while in the performance of duty which causes any disability for work beyond the day or shift on which the injury occurred or results in any charge against the Commission for medical expense. This form should be accompanied by C. A. 1.]

Place of employment

1. Department Commerce (War, Navy, etc.) 2. Bureau or office Lighthouse Service (Coast Guard, Navy yard, etc.)
3. Place of employment Cape Elizabeth Light Station, R.F.D. So. Portland, Me. (Arsenal, Navy yard, etc.) (City) (State)
4. Reporting office Supt. of Lighthouses, 1st Dist., Portland, Maine. (Location of reporting office or division headquarters)
5. Name of superintendent or foreman in charge when injury occurred Himself

The injured employee

6. Name of injured employee Joseph H. Upton (Give full name) 7. Age 64 8. Sex Male 9. Race White
10. Home address Cape Elizabeth Lt. Station, R.F.D., So. Portland, Maine. (Street and number) (City or town) (State)
11. Occupation and division Lightkeeper. (Give both, as laborer, hull division; helper, machine shop, etc.) 12. Was employee doing his regular work? Yes If not, what work? --
13. Total length of service with the Government as a civilian? About 23 years.
14. How long at present work in this establishment? Since May 1, 1911.
15. Dates of other injuries 7/12/24, 6/29/21
16. Rate of pay on date of injury $ 1620.00 per annum (Both less 15% legislative deduction) and quarters valued at $ 240.00 per annum
17. Employee begins work at No fixed hour (Hour, a. m. or p. m.) m. 18. Regular day's work ends No fixed hour (Hour, a. m. or p. m.) m.
19. Hours worked per day Not fixed 20. Days paid per week Seven

The injury

21. Place where injury occurred In lantern of light-tower. (Give exact location, as name or number of building and division, etc.)
22. Date of injury January 13, 19 34 day of week Saturday; hour of day 11:30P (a. m. or p. m.) m.
23. Date employee stopped work same, 19 ; day of week ; hour of day (a. m. or p. m.) m.
24. Date employee's pay stopped Jan. 14, 19 34 day of week Sunday; hour of day 12:00n (a. m. or p. m.) m.
25. Has employee returned to work? No; died. (Give date and hour)
26. Will employee receive pay for any portion of above absence on account of:
    (a) Annual leave (Give exact dates) No
    (b) Sick leave (Give exact dates) No
    (c) Any other reason (Give exact dates) No
27. Describe in full how injury occurred Not known. Assumed he fell down tower stairs from lantern deck to the second deck below, after having installed emergency kerosene-vapor lamp after failure of electric power supply.
28. State part of body injured and nature and extent of injury Cuts & fracture of skull.
29. Did injury cause loss of any member or part of member? No If so, describe exactly --
30. Was employee injured while in performance of duty? Yes If not, or in doubt, give detailed statement --
31. Was injury caused by:
    (a) Willful misconduct of the employee? No (b) Intention of employee to bring about injury or death of himself or another? No (c) Employee's intoxication? No
    (If any answers to these questions are made in the affirmative, the reporting officer should attach an additional statement giving the reason for his conclusion)
32. Was written notice of injury given within 48 hours? No If not, did immediate superior have actual knowledge of injury? Yes
    (Answer to question 5, Form C. A. 1, must be complete if notice was not given within 48 hours)
33. Names and addresses of witnesses to injury None

[To be submitted to U.S. ... United States sustained while in the performance of duty ... against the Commission for medical expense. This form should be accompanied by C. A. 1.]

Place of employment

1. Department Commerce (War, Navy, etc.) 2. Bureau or office Lighthouse Service (Engineer, Navigation, etc.)
3. Place of employment Cape Elizabeth Light Station, R.F.D. So. Portland, Me. (Arsenal, Navy Yard, etc.) (City or town) (State)
4. Reporting office Supt. of Lighthouses, 1st Dist., Portland, Maine. (Location of reporting office or division headquarters)
5. Name of superintendent or foreman in charge when injury occurred Himself

The injured employee

6. Name of injured employee Joseph H. Upton (Give full name—Christian name in full) 7. Age 64 8. Sex Male 9. Race White
10. Home address Cape Elizabeth Lt. Station, R.F.D., So. Portland, Maine. (Street and number) (City or town) (State)
11. Occupation and division Lightkeeper. (Give both, as laborer, hull division; helper, machine shop, etc.) 12. Was employee doing his regular work? Yes If not, what work? --
13. Total length of service with the Government as a civilian? About 23 years.
14. How long at present work in this establishment? Since May 1, 1911.
15. Dates of other injuries 7/12/24, 6/29/21
16. Rate of pay on date of injury $ 1620.00 per annum (and ~~subsistence and quarters~~ valued at $ 240.00 per annum
Both less 15% legislative deduction
17. Employee begins work at No fixed hour m. (Hour, a. m. or p. m.) 18. Regular day's work ends No fixed hour m. (Hour, a. m. or p. m.)
19. Hours worked per day Not fixed 20. Days paid per week Seven

The injury

21. Place where injury occurred In lantern of light-tower. (Give exact location, as name or number of building and division, etc.)
22. Date of injury January 13, 1934 day of week Saturday; hour of day 11:30P m. (a. m. or p. m.)
23. Date employee stopped work same, 19...; day of week ...; hour of day ... m. (a. m. or p. m.)
24. Date employee's pay stopped Jan. 14, 1934 day of week Sunday; hour of day 12:00n m. (a. m. or p. m.)
25. Has employee returned to work? No; died. (Give date and hour)
26. Will employee receive pay for any portion of above absence on account of:
(a) Annual leave (Give exact dates) No
(b) Sick leave (Give exact dates) No
(c) Any other reason (Give exact dates)
27. Describe in full how injury occurred Not known. Assumed he fell down tower stairs from lantern deck to the second deck below, after having installed emergency kerosene-vapor lamp after failure of electric power supply.
28. State part of body injured and nature and extent of injury Cuts & fracture of skull.
29. Did injury cause loss of any member or part of member? No If so, describe exactly --
30. Was employee injured while in performance of duty? Yes If not, or in doubt, give detailed statement --
31. Was injury caused by:
(a) Willful misconduct of the employee? No (b) Intention of employee to bring about injury or death of himself or another? No (c) Employee's intoxication? No
(If any answers to these questions are made in the affirmative, the reporting officer should attach an additional statement giving the reason for his conclusion)
32. Was written notice of injury given within 48 hours? No If not, did immediate superior have actual knowledge of injury? Yes
(Answer to question 5, Form C. A. 1, must be complete if notice was not given within 48 hours)
33. Names and addresses of witnesses to injury None
(If disability will continue for more than one day, have statements of witnesses made on reverse side of this form)
34. Was injury caused by a third party other than a Government employee or agency? No If so, has employee been instructed in procedure under commission's regulations? --
(A detailed statement should be forwarded with this report)

Medical attendance

35. Name and address of physician who first attended case Waldo T. Skillin, 448 Broadway, South Portland, Me.
36. How soon after injury? About 12:30 A.M. Jan. 14, 1934.
37. To what hospital sent? None Location --
38. Name and address of physician now attending case Deceased

Signed this 14th day of February, 19 34
at Portland, Maine.

CHARLES C. BRUSH, (Signature of reporting officer)
Superintendent of Lighthouses (Title)

C. A. 2
Revised to June 15, 1929

69—555

(OVER)

U. S. GOVERNMENT PRINTING OFFICE: 1929

STATEMENT OF WITNESSES

[The statement of witness should tell just what the witness saw personally, or, if he did not see the injury occur, just what he knows about it and when and by whom the information was given him.]

See accompanying statements of persons first aware of accident.

Mr. Upton was found about 11:45 P.M., Jan. 13, 1934 by wife in tower on third deck from top, lying face downward in an unconscious condition.

Signed this 23 day of January, 19 34

(Sgd.) Mabelle S. Upton, wife.
(Signature of witness)

Signed this ..... day of ....., 19....

(Signature of witness)

STATEMENT OF ~~GOVERNMENT MEDICAL OFFICER~~ OR PHYSICIAN WHO FIRST EXAMINED CASE

I CERTIFY that Joseph H. Upton *(Name of employee)* was given first-aid treatment, or examined, on Jan. 14, 1934, 19....., at 12.45A m., and was *(Was or was not)* disabled for work. Probable length of disability will be Died about 5 hours later In my opinion disability was *(Was or was not)* due to injury on Jan. 13, 1934

Nature of injury as found on examination Compound fracture base of skull. Fracture Lt. frontal bone. Scalp wound 3 in. long occipital part of head.

Hospitalized ..... Will return for further treatment .....

Discharged ..... Other disposition .....

Remarks .....

Signed this 11 day of Feb., 19 34

at So. Portland, Me.

(Sgd.) Waldo T. Skillin, M.D.
(Signature of medical officer)

(Title)

c9—585

Cape Elizabeth

South Portland, Maine.

First

15 January 34.

To whom it may concern:

This is to certify that about 11:50 p.m. January 13, 1934, a telephone call was received from Mrs J.H.Upton, residing at Cape Elizabeth Light Station, stating that her husband Mr. J.H.Upton, had fallen in the light tower, and was lying on the floor bleeding badly. Mrs Upton requested help in the case. Proceeded in response to the request, arriving in the light tower at about 12:02 a.m. 14 January, 1934, shortly after the arrival of R.G.Dennison and Earl E.McLaughlin, found Mr Upton lying on the floor of the tower, in a pool of blood, unconscious but breathing. With the assistance of R.G.Dennison and Earl E.McLaughlin, Mr Upton was removed from the tower and placed on a bed in the dwelling.

Melcher F. Beale

Melcher F.Beale.
B.M.1c (L) U.S.Coast Guard.

...ll employee of the ...sults in any charge

...and,Me.
(State)

Race White
...aine.
(State)
...oing his regular

...per...
per annum
hour ...m.
or p. m.)

11:30P m.
(a. m. or p. m.)
...m.
(a. m. or p. m.)
12:00p m.
(a. m. or p. m.)

...r stairs
...installed
power
...l.
...--
...ment --

...ry or death of
...s conclusion)
...actual knowledge

32. Was written notice of injury given within 30 hours? ... of injury? Yes
(Answer to question 5, Form C. A. 1, must be complete if notice was not given within 48 hours)

33. Names and addresses of witnesses to injury None

(If disability will continue for more than one day, have statements of witnesses made on reverse side of this form)

34. Was injury caused by a third party other than a Government employee or agency? No If so, has employee been instructed in procedure under commission's regulations? --
(A detailed statement should be forwarded with this report)

Medical attendance

35. Name and address of physician who first attended case Waldo T.Skillin,449 Broadway, South Portland,Me.

36. How soon after injury? About 12:30 A.M. Jan.14,1934.

37. To what hospital sent? None Location --

38. Name and address of physician now attending case Deceased

Signed this 14th day of February, 19 34

at Portland, Maine.

CHARLES C. BRUSH (Signature of reporting officer)
Superintendent of Lighthouses (Title)

(OVER)

C. A. 2
Revised to June 15, 1929

c9—585

Cape Elizabeth

South Portland, Maine.

First

15 January 34.

To whom it may concern:

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Earl E. McLaughlin

Earl E.McLaughlin.
Surfman U.S.C.G.

32. Was written notice of injury given within 48 hours? No If not, did immediate superior have actual knowledge of injury? Yes

(Answer to question 5, Form C. A. 1, must be complete if notice was not given within 48 hours)

33. Names and addresses of witnesses to injury None

(If disability will continue for more than one day, have statements of witnesses made on reverse side of this form)

34. Was injury caused by a third party other than a Government employee or agency? No If so, has employee been instructed in procedure under commission's regulations? --

(A detailed statement should be forwarded with this report)

Medical attendance

35. Name and address of physician who first attended case Waldo T.Skillin, 449 Broadway, South Portland, Me.

36. How soon after injury? About 12:30 A.M. Jan.14.1934.

37. To what hospital sent? None Location --

38. Name and address of physician now attending case Deceased

Signed this 14th day of February, 19 34

at Portland, Maine.

CHARLES C. BRUSH (Signature of reporting officer)
Superintendent of Lighthouses (Title)

(OVER)

C. A. 2
Revised to June 15, 1929

c9—585

Cape Elizabeth South Portland, Maine.

First 15 January 34.

To whom it may concern:

This is to certify that about 11:50 p.m. January, 13, 1934, a telephone call was received from Mrs J.H.Upton, residing at Cape Elizabeth Light Station, stating that her husband, Mr. J.H.Upton, had fallen in the light tower, and was lying on the floor bleeding badly. Mrs Upton requested help in the case. Proceeded in response to the request in company with Earl E. McLaughlin, and arrived at about 12 Midnight, found Mr Upton lying on the floor of the tower in a pool of blood, in an unconscious condition, but breathing. Assisted M.F.Beale and Earl E.McLaughlin, to remove Mr Upton, from the tower to his bedroom.

Roscoe G. Dennison

Roscoe G.Dennison.
Mo.M.M.1c (L). U.S.C.G.

(Answer to question 5, Form C. A. 1, must be complete if notice was not given with

33. Names and addresses of witnesses to injury None

(If disability will continue for more than one day, have statements of witnesses made on reverse side of this form)

34. Was injury caused by a third party other than a Government employee or agency? NO If so, has employee

Medical attendance

35. Name and address of physician who first attended case Waldo T.Skillin,448 Broadway,South Portland,Me.

36. How soon after injury? About 12:30 A.M. Jan.14.1934.

37. To what hospital sent? None Location --

38. Name and address of physician now attending case Deceased

Signed this 14th day of February, 19 34

at Portland, Maine.

CHARLES C. BRUSH
Superintendent of Lighthouses

(OVER)

C. A. 2
Revised to June 15, 1929

Supt.
Asso. Engr.
Chief Clerk
1st Clerk
2nd Clerk
Messenger
Portland S. H.
L'D 1st Depot
Ribiscus
Hex
Call-up
Files

To be attached to Selected papers or [redacted] le in the office of the Local Board, Lighthouse Service, Portland, Maine.

First U. S. Civil Service Distric
Headquarters, 10th floor,
U. S. Postoffice & Court House Bldg
Boston, Massachusetts.

SUPT. OF LIGHTHOUSES 1st DIST. PORTLAND, MAINE FEB 12 1934

COMMISSION

ENTS

District.

SUPT. OF LIGHTHOUSES 1st DIST. PORTLAND, MAINE FEB 12 1934

FIRST CIVIL SERVICE DISTRICT RECEIVED FEB 12 1934 OFFICE OF MANAGER

ER

Joseph H. Upton ,
Name of Employee

house Service, Dept. of Commerce
artment or Branch of Service

at Cape Elizabeth Lighthouse, Maine , died on 1-14-34 .
Location Date

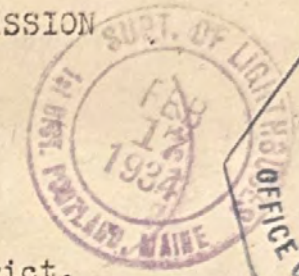
L. H. FISHER,
Chief, Division of Appointments.

Examinations	Average	Cert. No.	Date
Assistant Keeper	97.80	E.R. 7-25-10	
	B. Eberle 2-14-34		

Form 2540.
Nov. 1928.

UNITED STATES CIVIL SERVICE COMMISSION
[redacted]hington, D. C.
DIVISION OF APPOINTMENTS

ER





Memorandum for Secretary First District.

Report of changes in the service shows that Joseph H. Upton,
Name of Employee

a Keeper in the Lighthouse Service, Dept. of Commerce
Department or Branch of Service

at Cape Elizabeth Lighthouse, Maine, died on 1-14-34.
Location Date

L. H. FISHER,
Chief, Division of Appointments.

Examinations	Average	Cert. No.	Date
Assistant Keeper	97.80	E.R. 7-25-10	
	B.Eberle	2-14-34	

GFL:CLC

February 6, 1934.

Commissioner of Lighthouses:

1. Herewith for direct settlement is district voucher No. 5880, covering amount due Joseph H. Upton, former Keeper, Cape Elizabeth Light Station, Maine, from January 1 to 14, 1934, the date of his death.

2. Standard form No. 1055 and receipted funeral bill are attached to voucher.

3. Schedule of legislative deductions from Mr. Upton's salary for the fiscal year 1934, and vouchers upon which paid, is shown on the voucher. Legislative deduction for the period from January 1 to 14, 1934, was not reported by this office on form 1077.

G. BRUSH
Superintendent.

GFL:CP

January 16, 1934

Mrs. Mabelle S Upton,
Cape Elizabeth Lighthouse,
South Portland, Maine.

My dear Mrs. Upton:

I am deeply grieved to learn of the death of Mr. Upton. While I was not very well acquainted with him personally, I understand that he was a keeper of the old school who placed duty ahead of personal considerations. Upon my inspection of the station last July it was found in a very clean and efficient state, which I attributed largely to Mr. Upton's personal attention to detail. Mr. Upton will be missed by the Lighthouse Service.

May I extend my sincerest condolence to you in your personal bereavement?

Very truly yours,

GEO. E. EATON
Superintendent of Lighthouses

DEPARTMENT OF COMMERCE

Bureau of Office _____

Report of Employees' Marital Status and Dependents

SUPT. OF LIGHTHOUSES
JUL 23 1932
PORTLAND, MAINE

1. Name of Employee Joseph (First) Hale (Middle) Upton (Last)

2. Address Cape Elizabeth Light Station

3. Married or Single Married

4. If married, do you reside with husband or wife? Wife

5. If married, and question (4) is answered no, give date since which you have not resided with husband or wife. —

6. Is your husband or wife employed by the Federal Government or the District of Columbia? (If answered "Yes," give department or branch of District Government.) yes
Light House Service First District

7. Number of persons who derive their principal support from you.
Two

8. Enter below information specified concerning each dependent included in your answer to question 7. _____

Name of Dependent	Relationship (if any)	Age	Address	How long Dependent?
Sophronia M.	Wife	58	So Portland	41 yrs
Waldena H	Son	37	So Portland	37 "

9. Are you (a) An honorably discharged soldier, sailor, or marine?

(b) Widow of such _____

(c) The wife of an injured soldier, sailor, or marine, who himself is not qualified for government service _____

I certify that the answers to the foregoing questions are true.

Joseph Hale Upton

NOTE: A misstatement or withholding of the information called for above will constitute grounds for dismissal.

ADDRESS ALL COMMUNICATIONS TO
SUPERINTENDENT OF LIGHTHOUSES
PORTLAND, ME.

DEPARTMENT OF COMMERCE

LIGHTHOUSE SERVICE





EJD

Supt
Asso. Ltht Engr
Jun Engr
Chief Clerk
1st Clerk
2nd Clerk
3rd Clerk
Messenger
Portland S.H
E D Ltht

OFFICE OF SUPERINTENDENT, 1ST DISTRICT
PORTLAND, ME.

J H UPTON, KEEPER,
CAPE ELIZABETH LH, MAINE.

AUGUST 23, 1932.

ADVISE THIS OFFICE AS SOON AS POSSIBLE BY INDORSEMENT ON THIS LETTER WHETHER YOU ARE RECEIVING RETIRED PAY ON ACCOUNT OF SERVICE AS A COMMISSIONED OFFICER IN THE ARMY, NAVY, MARINE CORPS, COAST GUARD, COAST AND GEODETIC SURVEY, OR PUBLIC HEALTH SERVICE. IF YOU ARE RECEIVING SUCH PAY, GIVE THE RATE.

SHERMAN
SUPERINTENDENT

Not receiving any retired pay of any kind
J H Upton

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
AUG 13 1930
PORTLAND, MAINE.

July 3, 1930.

Joseph H. Upton

Through the Commissioner of Lighthouses.

Sir:

You are advised that under the Brookhart Act your compensation has been changed as indicated below, effective July 3, 1930.

By direction of the Secretary:

Respectfully,

EDW. J. GARDNER,

Chief of Appointment Division.

From:
1560
To:
1620
1st Keeper.

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

SUPT. of LIGHTHOUSES,
FIRST DISTRICT.
AUG 1 - 1928
PORTLAND, MAINE.

July 1, 1928.

Mr. Joseph H. Upton,

Through the Chief, Bureau of Lighthouses.

Sir:

You are advised that under the Welch Act your compensation has been changed as indicated below, effective July 1, 1928.

By direction of the Secretary:

Respectfully,

EDW. J. GARDNER,

Chief of Appointment Division.

From: $1500

To: $1560

11—9564

1

Form A-38

DEPARTMENT OF COMMERCE

APPOINTMENT DIVISION

WASHINGTON

January 1, 1927.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You are advised that your compensation has been changed as indicated below, effective January 1, 1927.

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary.

Respectfully,

CLIFFORD HASTINGS

Chief of Appointment Division.

From: $1440 per annum.

To: $1500 per annum.

Service and grade: Field.

Appropriation (unit):

F
1

GOVERNMENT PRINTING OFFICE 11—9061

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
MAR 29 1926
PORTLAND, MAINE.

Boothbay Harbor March I9, I926.

This is to certify that Joseph H.Upton was employed by the Lt.H.Engineer, on Ram Island Ledge Light and other Lt.House work, from May I903 to April I904.

James W Howard Manager

N.E.Maritime Company
Boothbay Harbor Maine.

CP

May 19, 1926

Commissioner of Lighthouses:

1. Referring to Department's "Notice of Change in Salary," dated May 1, 1926, in the case of Joseph H. Upton, Keeper, this district, whereby his salary was changed from $1560 per annum to $1440 per annum:

2. Effective date of above change in salary is May 18, 1926.

S,

SHERMAN
Superintendent

COPY TO Joseph H. Upton, Keeper, Cape Elizabeth LH.,Me.

Form A-21

NOTICE OF CHANGE IN SALARY
KEEPERS—LIGHTHOUSE SERVICE

1926 MAY 7 PM 12 24

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

May 1, 1926.

T. of LIGHTHOUSES
FIRST DISTRICT
MAY 10 1926
PORTLAND, MAINE.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You are advised that your salary as keeper in the Lighthouse Service has been changed from $ 1560 per annum to $ 1440 per annum, effective May 16, 1926, or as soon thereafter as you enter on duty.

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary:

Respectfully,

(SIGNED) CLIFFORD HASTINGS

Chief of Appointment Division.

11—8074 GOVERNMENT PRINTING OFFICE

Transferred to Keeper, Capt. Elizabeth Isle; Frank L. Cotton,
P.
1.

ADDRESS ALL COMMUNICATIONS TO
SUPERINTENDENT OF LIGHTHOUSES
PORTLAND, ME.

Supt.
1st Asst. Supt.
Asst. Supt.
Chief Clerk
2nd Clerk
3rd Clerk
4th Clerk
Messenger

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE



SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
MAR 29 1926
PORTLAND, MAINE.

FIRST DISTRICT

Isles of Shoals
(Station, Depot, or Vessel)

March 23rd, 1926

Superintendent 1st Dist

Dear Sir

I am inclosing a statement I received from Capt J W Howard certifying to the time I worked with him on the ~~lights~~ etc

Yours Respct

Jos H Upton

WAR DEPARTMENT,

UNITED STAT[redacted]GINEER OFFICE,

22 Monument Square,
PORTLAND, ME.

December 5, 1925.

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
DEC 5 1925
PORTLAND, MAINE.

Superintendent of Lighthouses,

#22 Monument Square, Portland, Maine.

The records of this office show that Joseph H.Upton was employed as a laborer, at $1.75 a day, at the Coast Defenses of Portland, Maine, from December 5, 1896, to March 9, 1897.

By order of MAJOR R.G.POWELL:

LEDow

L. E. DOW,
Junior Engineer.

Supt.
1st Asst. Supt.
Asst. Supt.
Chief Clerk
2nd Clerk
3rd Clerk
4th Clerk
Messenger

ADDRESS ALL COMMUNICATIONS TO
SUPERINTENDENT OF LIGHTHOUSES
PORTLAND, ME

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
OCT 3 1925
PORTLAND, MAINE.

FIRST DISTRICT

Isles of Shoals
(Station, Depot, or Vessel)

Oct 1st, 1925

Superintendent 1st Dist
Portland Maine

Dear Sir

I have worked at R I Ledge Spring Point when built and the S. Breakwater on dwelling also at Fort Preble on a.B. C. & D pile but have no record of the Time

Yours Respect

Jos H Upton

TAL

December 4, 1925

Mr. Joe H Upton,
Keeper, Isles of Shoals LH, NH

Re my letter of 23rd ult, concerning time served in the U S Engineer's Dept. etc:

The Engineer's Dept has rendered a statement of your time December 5, 1896 to March 9, 1897, or a period of 93 days which has been credited to your official record.

S.

Superintendent

TAL

November 23, 1925

Mr. Jos H Upton
Keeper, Isles of Shoals LH, Portsmouth, NH

Re your letter of October 1, 1925, with reference to statistical report and in relation to time served on Ram Island Ledge - Spring Pt Ledge and Portland Breakwater LH's Me as well as Fort Preble pits, etc.

In order to help secure this time for you it will be necessary to forward here the approximate dates you worked on each of the above projects. Your record in this office is incomplete as far as this particular phase is concerned. The Engineer's Office has no record of your time in the Mortars. Give all dates possible.

S.

Superintendent.

JMC

TO... J. W. Upton

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
OCT 3 1925
PORTLAND, MAINE.

Submit at once answers to the questions listed below. Write plainly and in ink, and sign your name at place indicated.

1. Name (As it appears on the payroll)

Upton (Surname) J. (First name) H. (Middle name)

2. Place of Birth (State or Country)

3. Date of Birth 12 (Day) Nov (Month) 1869 (Year)

4. Legal Voting Residence (Congressional District) So. Portland

(State) Maine

5. Full number of years you attended the following schools:

Common school 6 years

High school 1 years

College ..... years

..... School ..... years

List degrees received, if any:

.....

6. Military and Naval Service, if any. (Check with an X after appropriate item).

None | Army | Navy | Marine Corps

Coast Guard | Retired | Pensioned

Veterans Bureau Beneficiary

7. Statement of Government Service (Both Civil and Military)

Name of each Department or Bureau of the Government Service, other than the Lighthouse Service, in which you have been employed and give its location.

	Appointed or Enlisted			Separated or Discharged		
	Month	Day	Year	Month	Day	Year

8. Leave without pay while in the Government Service (Do not state any period of less than six months).

.....

.....

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

January 31, 1925.

SUPT. of LIGHTHOUSES,
FIRST DISTRICT,
FEB 5 1925
PORTLAND, MAINE.

1925 FEB 4 AM 9 53

r. Joseph H. Upton,
M

Commissioner of Lighthouses,

Through the

Sir:

In connection with the readjustment of pay of positions in the Lighthouse Service the total compensation of the position occupied by you has been fixed as indicated below, effective February 1, 1925.

By direction of the Secretary:

Respectfully,

EDW. J. HOOVER,

Acting

Chief of Appointment Division.

Keeper

Position:

$1560

Salary:

Appropriations: Salaries, Keepers of Lighthouses.
General Expenses, Lighthouse Service.

1st.

JSJ.

NOTICE OF CHANGE IN SALARY
KEEPERS -- LIGHTHOUSE SERVICE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

November 5, 1918.



Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You are advised that your salary as keeper in the Lighthouse Service has been changed from $ 804 per annum to $ 1080 per annum, effective November 1, 1918. (The above rate of pay includes longevity pay of $60.)

No new appointment will be issued to you as a result of this change, and an oath of office is not required.

By direction of the Secretary:

Respectfully,

(signed) Clifford Hastings.
Chief of Appointment Division.

R
Ho

USE TYPEWRITER—SEE INSTRUCTIONS ON BACK.

MAKE NO ENTRIES IN THIS COLUMN.

UNITED STATES BUREAU OF EFFICIENCY. FORM 8.

DEPARTMENT OF COMMERCE BUREAU OF THE CENSUS. 8—4780-1

RECORD OF CIVIL EMPLOYEE.

JULY 1, 1916.

1. Name: (Surname first. Given name in full. For women write Mrs. before given name.) UPTON Joseph Hale
2. Department: Commerce
3. Bureau, office, or service: Lighthouses
4. Designation of position: Keeper Lighthouse Service
5. Occupation of man (if not shown by answer to question 4): Care of light, fog signal, buildings, grounds etc.
6. Annual earnings: 871.80
7. Kind of appropriation: L S
8. Present rate of pay: $ 804. P A
9. Initial rate of pay: $ 456. P A
10. Total length of service (give the total of ALL U. S. GOVT. SERVICE with the exceptions stated on the back of this card)— Years: 5 Months: 2
11. State, Territory, or foreign country of birth (do not give town): Maine
12. Race: W
13. Sex: Male
14. Date of birth— Month: November 12 Year: 1869
15. Kinds of schools attended, years attended, and degrees taken: E 7 H 1
16. Marital condition: M
17. War veteran? No
18. Appointed from— Congressional district and State: 1 Maine County: Cumberland
19. Civil service status: U
20. Method by which classified status was obtained: E
21. Place of employment— Isles of Shoals L S State: N H Portsmouth

DO NOT FOLD THIS CARD.

11—5869

7KK

of Commerce

August 24, 1916.

nt of Commerce:

in data to the Bureau of Efficiency in con-
of the probable cost of retirement of civil
rtment requests that you give to the best of
d return this form to Inspector 1st. L.H.District
practicable date. Please give this matter

E. F. Sweet
Acting Secretary.

/27th 1916

pton Supervision and
ial hours: ut station

ed from the Government during the year ended
in service less than one year, state num-
nd total amount of compensation received,

civil establishment of the United States. If

	From (date)	To (date)

try into the Government service: $456.

Severn
ine
ne

siness, political science, technical, etc.)

Degrees taken _____

7. Marital condition, (single, married, widow, widower, or divorced) Married.
8. Service in Civil or Spanish War, Philippine Insurrection, Indian Campaign, or other military engagement _____. If none so state None.
9. Congressional District (under present Congressional apportionment) to which your present appointment is charged. Congressional District, First; State, Maine; County, Cumberland
10. If classified, indicate by "Yes" the method by which you originally obtained your competitive classified status. Competitive examination, Yes. Non-competitive examination, _____. Individual Executive order specifying you by name, _____. Blanket Executive order covering entire class, _____. Classified by Act of Congress, _____. Reinstated under individual Executive order, _____. Reinstated under blanket Executive order, _____. Reinstated in position classified by Executive order, _____. Reinstated in position classified by Act of Congress, _____.

Born Nov. 12, 1869
South Portland, Me

Served 5 years 2 months

Form A.

Department of Commerce

August 24, 1916.

To Officers and Employees of the Department of Commerce:

In order to be able to furnish certain data to the Bureau of Efficiency in connection with the preparation of estimates of the probable cost of retirement of civil employees of the United States, the Department requests that you give to the best of your ability the following information and return this form to Inspector 1st. L.H. District Portland, Maine. at the earliest practicable date. Please give this matter immediate attention.

E. F. [illegible]
Acting Secretary.

Sept 27th 1916

1. Name: Joseph Hale Upton
2. Character of your work during official hours: Supervision and General work about station
3. Total amount of compensation received from the Government during the year ended June 30, 1916; $ 762.87½ If in service less than one year, state number of months served, _____, and total amount of compensation received, $ _____.

804.

4. Service in any other department or civil establishment of the United States. If none, so state.

Department or establishment	Bureau	From (date)	To (date)
None			

5. Rate of compensation at original entry into the Government service: $ 456.
6. Educational training:
   Elementary school, years Seven
   High school, years One
   College or University, years _____
   Special courses of study, [redacted] _____
   (e.g. law, architecture, business, political science, technical, etc.)
   Degrees taken _____
7. Marital condition, (single, married, widow, widower, or divorced) Married
8. Service in Civil or Spanish War, Philippine Insurrection, Indian Campaign, or other military engagement _____. If none so state None.
9. Congressional District (under present Congressional apportionment) to which your present appointment is charged.
   Congressional District, First; State, Maine; County, Cumberland
10. If classified, indicate by "Yes" the method by which you originally obtained your competitive classified status. Competitive examination, Yes. Non-competitive examination, _____. Individual Executive order specifying you by name, _____. Blanket Executive order covering entire class, _____. Classified by Act of Congress, _____. Reinstated under individual Executive order, _____. Reinstated under blanket Executive order, _____. Reinstated in position classified by Executive order, _____. Reinstated in position classified by Act of Congress, _____.

Born Nov. 12, 1869 — Served 5 years 2 months
South Portland, Me

GENERAL APPOINTMENT—FIXED DATE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

Lighthouse Inspector
RECEIVED
DEC 31 1915

December 27, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, Keeper in the Lighthouse Service at a salary of Eight Hundred and Four dollars per annum, effective January 1, 1916.

(By increase in compensation from $720 per annum.)

By direction of the Secretary:

Respectfully,

(SIGNED) CLIFFORD HASTINGS
Chief of Appointment Division.

E
R

Appropriation: Salaries, Keepers of Lighthouses.

Vice:

Legal Residence: Maine.

11—1393

GENERAL APPOINTMENT

DEPARTMENT OF COMMERCE

APPOINTMENT DIVISION

WASHINGTON

LIGHT
MAR251915
HOUSES

March 24, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Keeper (Isles of Shoals)

in the Lighthouse Service

at a salary of Seven Hundred and Twenty dollars per annum,

effective on the date on which you enter upon duty in the above-mentioned position.

(By transfer from third assistant keeper at $456 per annum.)

By direction of the Secretary:

Respectfully,

(SIGNED) GEO. W. BRADLEY,

R
C

Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice: Jerome C. Brown.

Legal Residence: Maine.

11—3692

24

GENERAL APPOINTMENT—FIXED DATE

Department of Commerce and Labor
APPOINTMENT DIVISION
Washington

FILE 955

April 18, 1912.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, ~~Third Assistant Keeper~~ (Cape Elizabeth) in the Lighthouse Service at a salary of ~~Four Hundred and Fifty-six~~ dollars per ~~annum~~, effective on the date of actual entrance on duty.

(By transfer from second assistant keeper at $480 per annum.)

By direction of the Secretary:

Respectfully,

(SIGNED) R. O. SHELSE

F
D

Acting Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice: Alonzo Morong.

Legal Residence: Maine.

11—1393

GENERAL APPOINTMENT

955

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

August 14, 1911.

Mr. Joseph H. Upton,
Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Second Assistant Keeper of Matinicus Rock Light Station, Maine,

in the Lighthouse Service,

at a salary of Four Hundred and Eighty dollars per annum,

effective beginning September 1, 1911, or as soon thereafter

as you enter upon duty in the above-mentioned position.

(By promotion from Third Assistant Keeper at $456 per annum.)

By direction of the Secretary:

Respectfully,

(Signed) A. GORDON-CUMMING

Le
D

Acting Chief Clerk.

Appropriation:

Vice: Harold I. Hutchins,

Legal Residence: Maine.

11—3692

File No. 955

HB-ATJ.

Department of Commerce and Labor

BUREAU OF LIGHTHOUSES

Washington

Joseph H. Upton:
efficiency.

June 21, 1911.

The Inspector of

The First Lighthouse District,

Portland, Maine.

Sir:

The Bureau states that, by direction of the Department, no action will be taken at this time with reference to the efficiency of Joseph H. Upton, third assistant keeper at Matinicus Rock Light-Station, under your direction, but that an additional efficiency report shall be submitted in duplicate, by you for Mr. Upton, on January 2, 1912.

Respectfully,

G. R. Putnam

Commissioner.

RECEIVED
JUN 24 1911
Light-House Inspector
1st. District.

955

Matinicus Rock Lt. Stn.,
May 14th, 1911.

Jno. D. McDonald,
Commander, U.S. Navy,
Inspector, 1st. L.H. District,
Portland, Maine.

Sir:—

I have the honor to inform you that Mr. Joseph H. Upton arrived at this Station on the 5th inst., at 6-30 A.M. and reported for duty.

Very respectfully,
M. E. Tolman,
Keeper.

RECEIVED
MAY 17 1911
Light-House Inspector
1st. District.

955

Department of Commerce and Labor
OFFICE OF THE SECRETARY
Washington

BUREAU OF LIGHT-HOUSES
APR 13 1911
DEPT OF COMMERCE AND LABOR

April 12, 1911.

Sir:

Referring to the nomination of the Inspector of the First District, dated the 7th instant, of Joseph H. Upton to be third assistant keeper of Matinicus Rock Light Station, Maine, the Department hereby changes the pay attached to that position from $450 to $456 per annum, in accordance with the provisions of Section 4673, Revised Statutes, effective May 1, 1911, in order that it may conform to the plan outlined in the report of the Committee on Department Methods.

Respectfully,

Acting Secretary.

The Commissioner of Lighthouses.

Department of Commerce and Labor
Bureau of Light-Houses
Washington
APR 18 1911
Respectfully referred to the Inspector of the 1st Light-House District for the files of his office.
By direction of the Commissioner:
Chief Clerk.

RECEIVED
APR 14 1911
Light-House Inspector
1st. District.

Le
D.

955

PROBATIONARY APPOINTMENT

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

April 13, 1911.

M. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Third Assistant Keeper of Matinicus Rock Light Station, Maine,

in the Lighthouse Service,

at a salary of Four Hundred and Fifty-six dollars

per annum, for a probationary period of six months, effective

beginning May 1, 1911, or as soon thereafter as you enter

upon duty. Retention in the service after the expiration of your

probationary period shall be equivalent to absolute appointment.

(Civil-service certificate No. .....)

By direction of the Secretary:

Respectfully,

(Signed) A. GORDON-CUMMING

Lo
D

Acting Chief Clerk.

Appropriation: Error of Wallace,
Vice: Maine. Date April 21, 1911
Legal Residence:

11—1394

76.

955

Form 201
K

LETTER TO ELIGIBLES

Department of Commerce and Labor

LIGHT-HOUSE ESTABLISHMENT

Office of the Inspector, 1st *Light-House District,*

Portland, Me., April 1, 1911.
(Place.) (Date.)

Mr. Joseph H. Upton,
South Portland, Me.

SIR: You are hereby informed that you are being considered for the position of

Third Assistant Keeper in class I

at Matinicus Rock Light-Station, Me.

This station is located on a rock near the island and town of Matinicus.
(Here state whether station is ashore or afloat.)

The salary is ----------$456.00---------- per annum.

There are quarters provided for families of keepers.
(Here state whether or not quarters are provided by the Government for the families of keepers at this station, or if quarters can be rented by appointee in the vicinity.)

Fuel 5½ tons stove coal per yr. Rations cash and issued rations amount to 30¢ per day.
(Here state allowance of fuel and rations, if any.)

--This position is also offered to other eligibles, any one of whom may be selected, although all may indicate willingness to accept this position.

Read carefully the Instructions to Eligibles on the other side before returning this letter.

You will, therefore, please advise this office in this connection by answering the questions below and returning this communication at the earliest date practicable.

Respectfully,

No. 1 on list.

Jno. W. McDonald

Inspector, 1st *Light-House District.*

The following questions should be filled in by applicant:

Are you willing to accept this position if it is offered you, or do you decline? I accept

Do you wish your name restored to the register at this time?

Are you willing to accept the next appointment offered?

Do you wish your name restored to the register at some future time? If so, give date.

My reasons for declining the position tendered are as follows:

(*Signature:*) Joseph H. Upton

(*Post-office address:*) So. Portland Maine

Date, April 3 - 11.

11—749

UPTON, JOSEPH H.

OUT FILES

out

Form 73 K.

ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER OF EMPLOYEE

Department of Commerce and Labor

LIGHT-HOUSE ESTABLISHMENT

Office of the Inspector, 1st L. H. Dist.,

Portland, Maine,

April 7, 1911.
(Date)

The Light-House Board,

Washington, D. C.

Sirs: I have the honor to recommend the (1) probationary appointment
(Probationary appointment, transfer, promotion, etc.)

of (2) Mr. Joseph H. Upton (Name of person—letter for letter—as reported to Board) of (3) Maine (State of legal residence)

from (4) ---------- (Official title of present position, as Keeper, First Assistant Keeper, etc.)

at (5) ---------- (Official name of aid, as given in Light List, as Absecon Light-Station, N. J.)

at (6) $---------- (Salary now paid) to (7) Third Assistant Keeper (Official title of position, as Keeper, First Assistant Keeper, etc.)

at (8) Matinicus Rock Light-Station, Me., (Official name of aid, as given in Light List, as Absecon Light-Station, N. J.) at

(9) $456 per an. (Salary recommended), effective beginning (10) May 1, 1911, ~~(the date of his entrance on duty)~~ (or as soon thereafter as he enters on duty)
(Strike out the clause not appropriate)

in the place of (11) Mr. Grover C. Wallace
(State name of *last* person holding position)

who (12) resigns (Died, transferred, resigned, dismissed, etc.) on (13) April 30, 1911. (Last day of service)

Mr. (14) Upton stands No. (15) 1 in list of eligibles,

Class (16) I, with an average of (17) 97.8 per cent, and

was considered with (18) Messrs. Leonard G. Clark and Fred T. Waters

(19) letters of declination of ---------- herewith.

All three eligibles would accept position.

Respectfully,

Jno. W. McDonald
Commander, U.S.N.,
Inspector 1st L.H.District.

Department of Commerce and Labor
BUREAU OF LIGHT-HOUSES
LIGHT-HOUSE BOARD

APR 10 1911, ~~190~~

Approved, and respectfully forwarded to the Honorable the Secretary of Commerce and Labor.

O. H. Leonard
Acting Commissioner

NOTE.—This form should be sent to the Light-House Board in duplicate. Letters of declination, if any, should be sent with this letter.

This letter is returned for correction of items Nos. ----------

11—2314

PROBATIONARY APPOINTMENT

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

April 13, 1911.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, Third Assistant Keeper of Matinicus Rock Light Station, Maine, in the Lighthouse Service, at a salary of Four Hundred and Fifty-six dollars per annum, for a probationary period of six months, effective beginning May 1, 1911, or as soon thereafter as you enter upon duty. Retention in the service after the expiration of your probationary period shall be equivalent to absolute appointment.

(Civil-service certificate No. .....)

By direction of the Secretary:

Respectfully,

(Signed) A. GORDON-CUMMING

Acting Chief Clerk.

Lc
D

Appropriation:
Vice: Forrest C. Wallace, Maine.
Legal Residence:

11—1394

96.

Form 73

ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER OF APPOINTEE

Department of Commerce and Labor
LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT.

BUREAU OF LIGHTHOUSES AUG 10 1911 DEPT OF COMMERCE AND LABOR

Portland, Me., August 8, 1911

THE COMMISSIONER OF LIGHTHOUSES:

The following recommendation is submitted:

Action proposed, Promotion

Name, Joseph H. Upton Legal residence, Maine

Position, Second Assistant Keeper Salary, $480 per annum

Location, Matinicus Rock Light-Station, Me.

Effective on the actual date of entrance on duty.

Former position, Third Assistant Keeper Former salary, $456 per annum.

Location, Matinicus Rock Light-Station, Me.

Rank in list of eligibles, Rating, Percentage,

Other eligibles considered,

Letters of declination inclosed,

Previous incumbent, Harold I. Hutchins Services terminated, August 31, 1911.

Reason, To be promoted.

Remarks:

A C Shuman
Inspector 1st L.H.District.

Department of Commerce and Labor
BUREAU OF LIGHTHOUSES
WASHINGTON

AUG 10 1911

Respectfully submitted to the Secretary of Commerce and Labor, approved.

11—2314

C Roemer
Acting Commissioner

GENERAL APPOINTMENT

Department of Commerce and Labor
OFFICE OF THE CHIEF CLERK
Washington

August 14, 1911.

Mr. Joseph H. Upton,
Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Second Assistant Keeper of Matinicus Rock Light Station, Maine,

in the Lighthouse Service,

at a salary of Four Hundred and Eighty dollars per annum,

effective beginning September 1, 1911, or as soon thereafter

as you enter upon duty in the above-mentioned position.

(By promotion from Third Assistant Keeper at $456 per annum.)

By direction of the Secretary:

Respectfully,

(Signed) A. GORDON-CUMMING
Chief Clerk.

Le
D

Acting

Appropriation:

Vice: Harold I. Hutchins,

Legal Residence: Maine.

11—3692

Form 73 ORIGINAL APPOINTMENT, PROMOTION, OR TRANSFER OF APPOINTEE

K

Department of Commerce and Labor

One inclosure.

LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT,

Portland, Me., April 11, 1912

THE COMMISSIONER OF LIGHTHOUSES:

The following recommendation is submitted:

Action proposed, Transfer.

Name, Joseph H. Upton Legal residence, Maine

Position, 3rd Asst. Keeper Salary, $456.00 per annum.

Location, Cape Elizabeth Light-Station, Me.

Effective on the actual date of entrance on duty.

Former position, 2d Asst. Keeper Former salary, $480 per annum.

Location, Matinicus Rock Light-Station, Me.

Rank in list of eligibles, Rating, Percentage,

Other eligibles considered,

Letters of declination inclosed,

Previous incumbent, Alonzo Morong Services terminated, Not known as yet.

Reason, Promotion.

Remarks: This transfer is recommended upon Mr. Upton's request. His letter inclosed.

C. E. Sherman

Inspector 1st L.H.District.

Salaries of Keepers of Lighthouses, 1912.

Department of Commerce and Labor

One inclosure.

BUREAU OF LIGHTHOUSES

WASHINGTON APR 15 1912

Respectfully submitted to the Secretary of Commerce and Labor, approved.

11—2314

Acting Commissioner

GENERAL APPOINTMENT—FIXED DATE

Department of Commerce and Labor
APPOINTMENT DIVISION
Washington

April 18, 1912.

Mr. Joseph H. Upton,
Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, ~~Third Assistant Keeper~~ in the ~~Lighthouse Service~~ at a salary of ~~Four Hundred and Fifty-six~~ dollars per ~~annum~~, effective on the date of actual entrance on duty.

(By transfer from second assistant keeper at $480 per annum.)

By direction of the Secretary:

Respectfully,

(SIGNED) R. C. SHELSE

F
D

Acting Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.
Vice: Alonzo Morong.
Legal Residence: Maine.

11—1393

ADDRESS ALL COMMUNICATIONS TO
LIGHTHOUSE INSPECTOR
PORTLAND, ME.

CES-CLK

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

Hydrog.
Engr. Cons
Mar. Engr.
Personnel
Accounts.
Chf. Clk.
Inspector.

OFFICE OF INSPECTOR, 1ST DISTRICT
PORTLAND, ME.

Isles of Shoals Light Station: Keeper
Nomination of Jos. H. Upton.

Mar. 16, 1915.

Dept rec'd Mar 17

Commissioner of Lighthouses, Washington, D. C.

Referring to recommendation herewith on Form 73 for the promotion of Mr. Joseph H. Upton, now serving as third assistant keeper at Cape Elizabeth Light Station, Me., to position as keeper of the Isles of Shoals Light Station, N. H., the following explanation is submitted:

1 The Isles of Shoals Light Station is an offshore station, located on a barren rock - about 6½ miles seaward from Portsmouth, N. H. - at which landings at times, particularly during the winter season, are dangerous; for this reason it is necessary that the keepers be unusually good boatmen, and as there are three keepers and their families there, some executive ability is required on the part of the principal keeper. In these respects, this station does not differ from several others in this locality, but, besides being provided with an incandescent oil vapor lamp of the second order, it has also a compressed air siren fog signal plant, having one of the most complicated engines of any in use in this District, in consequence of which the keepers, besides requiring the qualifications enumerated above, should possess a good knowledge of machinery.

2 There has been but one man who has expressed a willingness to go there through transfer, and this man is not now considered competent to handle the situation at this particular station. While the retiring keeper has been well fitted for this position, and has rendered excellent service, the first assistant is not considered capable at this time of filling the position of

principal keeper at any offshore station where three keepers are stationed; and the second assistanthas only served since Oct. 1st, 1914, consequently being lacking in experience.

3 On account of the conditions as stated above, and the absolute necessity that these engines receive the best of care and attention, it is believed to be to the best interests of the Service to select for this particular position that employee willing to accept same who appears best fitted.

4 After a careful examination of the records of each of the keepers and assistants in this District, together with investigation into the experience of some before entering the Lighthouse Service, it is found that Mr. Joseph H. Upton has served as engineer, both stationery and marine, as well as master mariner, and, although not an applicant, as he has stated he would accept the position if appointed, his nomination is herewith.

Shennon

Inspector.

CLK
Form 73

NOMINATION

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

OFFICE OF LIGHTHOUSE INSPECTOR, ..First.. DISTRICT,

..Portland, Me., (City.) ..Mar. 16 (Date.) ..., 1915

THE COMMISSIONER OF LIGHTHOUSES:

Action recommended, Promotion

Name, JOSEPH H. UPTON — Legal residence, Maine

Position, Keeper — Salary, $720 per annum

Location, Isles of Shoals Light Station, N.H. — Appropriation, Sal., Kprs., etc.

Effective April 8, 1915 { the actual date of entrance on duty, or / as soon thereafter as he enters on duty.

Former position, Third Assistant Keeper — Former salary, $456 per annum

Location, Cape Elizabeth Light Station, Me.

Rank on eligible list, Rating, Percentage, , Other eligibles considered:

Previous incumbent, Jerome C. Brawn — Services terminated, April 7, 1915.

Reason, Resignation tendered.

Relatives in Government Service, One, a cousin; does not live under same roof.

Remarks:

See letter of even date herewith.

Selection regular, subject to the action of the U. S. Civil Service Commission. , 191

..... Secretary, Civil Service District.

..Sherman.. Inspector.

HRW-JEL
One inclosure.

BUREAU OF LIGHTHOUSES
WASHINGTON

MAR 20 1915

Submitted to the Secretary of Commerce, approved.
Inclosed is First Inspector's letter of March 16, 1915, which is concurred in by the Bureau.

17312

Acting Commissioner

[1] Strike out the clause which does not apply.

11—2314

GENERAL APPOINTMENT

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

LIGHT
MAR 25 1915
HOUSES

March 24, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office,

Keeper

in the Lighthouse Service

at a salary of Seven Hundred and Twenty dollars per annum,

effective on the date on which you enter upon duty in the above-mentioned position.

(By transfer from third assistant keeper at $456 per annum.)

By direction of the Secretary:

Respectfully,

(SIGNED) GEO. W. BRADLEY,

R
C

Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice: Jerome C. Brown.

Legal Residence: Maine.

11—3692

MAR 25 1915

Qual 8, 1915.

READ THE INSTRUCTIONS ON PAGE 3

Department of Commerce and Labor

ACB

EFFICIENCY REPORT

May 13, 1911

(The parenthetical letters refer to instructions on page 3, which instructions should be read and understood before attempting to fill out the form.)

1. Name Joseph H. Upton 2. Age 41 (Last birthday.)

3. Bureau Light House Service 4. Position Third Assistant Keeper $456.-PA &.30

5. Compensation day ration 6. Where employed Matinicus Rock Light Station

7. When appointed (*a*) Apr.13,1911 8. How appointed (*b*) ~~Competitive Examination~~ Through Civil-Service Examination

9. Status under Civil Service Rules (*c*) Classified competitive

10. Is the employee an honorably discharged soldier or sailor? no

11. Does the employee receive a pension? no If so, state the amount -

12. Other Government service None

13. Duties (describe fully) Third Assistant Keeper Matinicus Rock Light Station, Me.

14. Has the employee special qualifications? no If so, state them

15. Efficiency (*d*):

	Averages.	Relative weights.	Products of averages multiplied by weights.	
Quantity of work		2		
Quality of work		3		
Interest manifested in work (see also Question 17)		3		
Attendance		2		
Total ... Only 13 days in the service		10		
Average percentage .... Appointed May 1, 1911				

11—4259

16. In the following form state the number of days of annual leave, sick leave, and leave without pay taken in each of the years 1908, 1909, and 1910:

1908.			1909. Bureau's letter May 5, 1911			1910.		
Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.
-	-	-	-	-	-	-	-	-

17. Is this employee a purely routine employee, or does he manifest interest, not only in his own work but also in the work of the office or bureau as a whole, to the extent of overtime work or voluntary efforts by suggestion or otherwise to add to its efficiency? (Answer fully.) See number 15

18. On the basis of efficiency alone, is this employee overpaid or underpaid as compared with others in your office engaged on the same grade of work, or is his salary a fair equivalent for the service rendered? (Answer fully.) See number 15

If the present salary is above or below a fair equivalent, state what, in your opinion, it should be. ---

19. Is this employee superannuated? no If so, what percentage of salary is unearned? ----

20. Remarks: (*e*) -----

The official who is primarily responsible for the statements herein submitted will sign here—

Wm. W. McDonald

The official who approves the statements thus submitted will sign here—

Wm. W. McDonald

Commander, U. S. Navy,
Inspector 1st L.H.District

11—4259

Chief of Bureau or Office.

READ THE INSTRUCTIONS ON PAGE 3

ACB

Department of Commerce and Labor

EFFICIENCY REPORT

(The parenthetical letters refer to instructions on page 3, which instructions should be read and understood before attempting to fill out the form.)

1. Name Joseph H. Upton 2. Age 42 (Last birthday.)

3. Bureau Lighthouse Service 4. Position Second Assistant Keeper

5. Compensation $480.-PA & .30 day ration 6. Where employed Matinicus Rock Light Station

7. When appointed (*a*) Apr.13,1911 8. How appointed (*b*) Through Civil-Service Exam.

9. Status under Civil Service Rules (*c*) Classified competetive

10. Is the employee an honorably discharged soldier or sailor? no

11. Does the employee receive a pension? no If so, state the amount ----

12. Other Government service None

13. Duties (describe fully) Second Assistant Keeper
Matinicus Rock Light Station, Me.

14. Has the employee special qualifications? -- If so, state them ---

15. Efficiency (*d*):

	Averages.	Relative weights.	Products of averages multiplied by weights.	
Quantity of work	90	2	180	
Quality of work	85	3	255	
Interest manifested in work (see also Question 17)	100	3	300	
Attendance		2		
Total		8 10	735	
Average percentage			91	875

11—4259

16. In the following form state the number of days of annual leave, sick leave, and leave without pay taken in each of the years 1908, 1909, and 1910:

1908.			1909.			1910.		
Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.	Annual.	Sick.	Without pay.
--	--	--	--	--	--	--	--	--

17. Is this employee a purely routine employee, or does he manifest interest, not only in his own work but also in the work of the office or bureau as a whole, to the extent of overtime work or voluntary efforts by suggestion or otherwise to add to its efficiency? (Answer fully.) Performs his own work

18. On the basis of efficiency alone, is this employee overpaid or underpaid as compared with others in your office engaged on the same grade of work, or is his salary a fair equivalent for the service rendered? (Answer fully.) Salary is a fair equivalent for services If the present salary is above or below a fair equivalent, state what, in your opinion, it should be. ------

19. Is this employee superannuated? no If so, what percentage of salary is unearned? -----

20. Remarks: (*e*) -------

The official who is primarily responsible for the statements herein submitted will sign here—

A. E. Sherman

Inspector 1st L.H.District

The official who approves the statements herein submitted will sign here—

A. E. Sherman

Inspector First Lighthouse District.

Chief of Bureau or Office.

Form 73 CLK

NOMINATION

DEPARTMENT OF COMMERCE
LIGHTHOUSE SERVICE

LIGHT DEC 28 1915 HOUSES

OFFICE OF LIGHTHOUSE INSPECTOR, First DISTRICT,

Portland, Me., (City.) Dec. 21 (Date.), 1915

THE COMMISSIONER OF LIGHTHOUSES:

Action recommended, Increase in salary.

Name, JOSEPH H. UPTON — Legal residence, Maine.

Position, Keeper — Salary, $804 per annum.

Location, Isles of Shoals Light Station, N.H. — Appropriation, Sal., Kprs., etc.

Effective January 1, 1916. — ~~the actual date of entrance on duty~~, or as soon thereafter as he enters on duty.

Former position, Keeper — Former salary, $720 per annum.

Location, Isles of Shoals Light Station, N.H.

Rank on eligible list, Rating, Percentage, , Other eligibles considered:

Previous incumbent, Himself — Services terminated, Dec. 31, 1915.

Reason, Increase effective Jan. 1, 1916.

Members of family in Government Service, ~~None~~ One

Remarks: Reference to Bureau's letter Dec. 17, '15, "Pay schedule." Has cousin employed in Government Service. Same does not live under same roof, but maintains his family separately.

Selection regular, subject to the action of the U. S. Civil Service Commission. , 191

(In the absence and by direction of the Inspector)
Luther
Superintendent.

Secretary, Civil Service District.

BUREAU OF LIGHTHOUSES
WASHINGTON

DEC 23 1915

Submitted to the Secretary of Commerce, approved.

C

Acting Commissioner

[1] Strike out the clause which does not apply.

11—2314

APK 1073

GENERAL APPOINTMENT—FIXED DATE

DEPARTMENT OF COMMERCE
APPOINTMENT DIVISION
WASHINGTON

December 27, 1915.

Mr. Joseph H. Upton,

Through the Commissioner of Lighthouses.

Sir:

You have been appointed, subject to taking the oath of office, and to actual entrance upon duty (unless on authorized leave) on the date indicated, Keeper in the Lighthouse Service at a salary of Eight Hundred and Four dollars per annum, effective January 1, 1916.

(By increase in compensation from $720 per annum.)

EOD June 1, 1916.

By direction of the Secretary:

Respectfully,

(SIGNED) CLIFFORD HASTINGS

E
R

Chief of Appointment Division.

Appropriation: Salaries, Keepers of Lighthouses.

Vice:

Legal Residence: Maine.

11—1393

Washington D C MAY 13 1926

Lighthouse Superintendent Portland Maine

Retirement keeper Cotton and transfer keepers Upton Staples etcetera approved as recommended

Putnam

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CONFIRMATION to 1st:
Bureau of Lighthouses

Commissioner of Lighthouses

MAY 13 1926

Telephoned 4:10 pm 5/13/26

T.O. days taken by messenger on 4/13

PERSONNEL CLASSIFICATION BOARD FORM NO. 14—FIELD QUESTIONNAIRE
(To be filled out in accordance with the instructions given in P. C. B. Forms Nos. 15 and 16)

CP

Bureau No. 1375 Sheet No. 1(b)-13

Space reserved for the use of the Board

1. Upton (Surname) Joseph (Given name) H (Initial)

2. Department Commerce
3. Bureau Lighthouses
4. Field service Lighthouse Service
5. (a) Field station Cape Elisabeth Light Station
(b) Subdivision First District
(c) City or town South Portland State Maine

6. Pay roll title Light Keeper
7. Customary office title Keeper
8. Present administrative allocation $1380-$1680
9. Present gross rate of pay $ 1800
10. Deduction for allowances $ 240
11. Net rate paid in cash $ 1560

12. Indicate by an "X" in the proper box or boxes the kind of allowances, if any, received by the employee:
[X] House [ ] Room { [ ] Furnished [ ] Heat [X] Fuel [ ] Uniform Meals per day: [ ] 1 [ ] 2 [ ] 3 / [X] Unfurnished [X] Light [ ] Laundry [ ] Subsistence or rations in kind }

13. Describe any other allowance, privilege, or perquisite of value None

14. If any special equipment or a bond is required by law or regulation to be furnished at the employee's expense, state kind and annual cost Uniform; its cost depending upon quality of material selected by employee; estimate $20 per annum

15. (a) Regular hours of work: From not fixed to fixed m. (b) How many hours per week excluding unusual or irregular overtime work? Summer irregular Winter irregular (c) If not a full time and all-the-year-round position, state number of hours per day ; number of days per { week / month / year } (d) If certain hours of availability for duty, outside of regular working hours, are required, state hours and type of such duty Subject to duty at all times while on station

16. (a) Rate of pay for overtime none (b) Amount of overtime pay in last 12 months none

17. (a) Does the employee in any way direct or supervise other employees? Yes (b) If so, in what way? (See Form 16 before answering) In the operation, upkeep, etc. of station, the duties of which are equally shared by the three keepers (c) How many? two

18. If the employee supervises other persons through immediate contact, give the name and the sheet numbers of such persons. (For such of these as may not be covered by questionnaires, give number and kinds by occupations.) If the employee exercises supervision through section or division heads, give the title of such sections or divisions and the names and sheet numbers of their heads.

Alton S Cheney Sheet No. 1(b)-14.

Andrew H Kennedy Sheet No. 1(b)-15.

19. (a) Is the employee in any way directed, supervised, or instructed, or his work reviewed? Yes (b) If so, in what way? (See Form 16 before answering.) Periodical inspection by representatives of district office and instructions orally or by mail from time to time

20. What is the name, official position, and sheet number of the employee's immediate superior? C E Sherman, Superintendent of Lighthouses, Sheet No. 1(a)-1.

21. Employee's education: Strike an "X" over the number representing the highest grade or year completed in each type of school:

Elementary								High				Normal				College				Postgraduate or professional				
1	2	3	4	5	6	X 7	8	X 1	2	3	4	1	2	3	4	1	2	3	4	1	2	3	4	(Kind)

Other education (describe):

22. What length and kind of experience or other training did the employee possess upon original entrance into this position which was most qualifying or pertinent? Boatman accustomed to handling sail and rowboats in all kinds of weather.

10—1850

23. TO THE EMPLOYEE: Describe in detail the work you do. Put each distinct duty, task, or process in a separate paragraph. Explain first those that require most of your time. Explain occasional duties last. In the column at the left opposite each paragraph enter the approximate percentage of your time devoted to the work described in that paragraph. DO NOT ATTEMPT TO COMPOSE THIS STATEMENT WITHOUT FOLLOWING THE INSTRUCTIONS OF P. C. B. FORM NO. 15.

Percentage of working time	
12 hours sunset to sunrise	My duties as Keeper of the Light are as follows: That the light is kept burning from sunset to sunrise. A half hour before sunset I inspect all parts of light, and oil carefully all parts requiring, seeing that light revolves freely, then throw on current, and see that light is acting properly and see that it remains burning until sunrise, when current is turned off and lamp carefully wiped off and that the sunshade is put up. I note all other lights and lightships that all are burning and that any light buoys are not out, and keeping a sharp watch out for fires throughout the night.
12 hrs--during fog	Additional duties that I am available for are the fog whistle station, seeing that it is promptly started when fog comes on. When I have the duty at the fog whistle I inspect the boilers see that the water is right and all valves and lines are correct, then get up steam, check clockwork and its timing, throw in clutch and start whistle. When fogs clears the plant is shut down, and everything cleaned up and secured.
8 hrs--when off watch	Additional duties when not on light or at fog station are keeping and seeing to it that all buildings are painted giving such orders as to maintain the station and grounds and buildings in good condition, varnishing and keeping the woodwork inside of tower in excellent shape. I make the annual reports and also the monthly reports on light and fog signals and requisition all supplies for station.

24. I hereby certify that the foregoing was composed by me after reading P. C. B. Form No. 15, and that it is a true statement of my duties.

(SGD) JOSEPH H. [illegible]
(Signature of Employee)

25. TO THE PREPARING OFFICER: Write your own description of the employee's work. If in describing the work of the position you would cover the same subject matter and use the same language and expressions, indicate your concurrence in the employee's statement and utilize the rest of the space below by giving further and broader information regarding the position, such as the kind and degree of responsibility involved, the ultimate purpose of the work, its place in your organization, its working conditions, etc. If more space is needed, attach a separate sheet. See instructions in Form No. 16.

Care and attention to a second order incandescent electric/revolving light
Care and operation of steam fog signal plant
Cleaning and minor repairs of above apparatus
Cleaning, painting and making minor repairs to structures
Care and attention to grounds of station
Keeping station records and preparing and submitting periodical reports
Observing weather conditions and aids to navigation

26. How long have the employee's duties been substantially as described above? Since May 18, 1926

27. I hereby certify that to the best of my knowledge and belief the statements made by me on both sides of this sheet are accurate and complete.

OCT 11 1928, 1928
(Date)

JAMES C. WOOLLEY
(Signature of Preparing Officer)

Chief Clerk
(Title)

28. I hereby certify that I have read all of the foregoing and that, to the best of my knowledge and belief, the statements made on both sides of this sheet are accurate and complete, except as indicated in attached memorandum, marked ---------- In my judgment the compensation of this position should be in a range from $ 1380 to $ 1680

OCT 11 1928, 1928
(Date)

C. E. SHERMAN
(Signature of head of field office or station)

Supt. of Lighthouses
(Title)

29. I hereby certify that I have read all of the foregoing and that, to the best of my knowledge and belief the statements made on both sides of this sheet are accurate and complete, except as indicated in attached memorandum, marked ---------- In my judgment the compensation of this position should be in a range from $ 1380 to $ 1680

OCT 22 [illegible], 1928
(Date)

H. B. BOWERMAN
(Signature of head of bureau or his representative)

Acting Commissioner of Lighthouses
(Title)

U. S. GOVERNMENT PRINTING OFFICE: 1918

Form 93

NOTICE [redacted] DEATH

GFL:CP

DEPARTMENT OF COMMERCE

LIGHTHOUSE SERVICE

OFFICE OF SUPERINTENDENT OF LIGHTHOUSES, First DISTRICT,

Portland, Maine, January 15, 1934. , 19

COMMISSIONER OF LIGHTHOUSES:

Herewith is transmitted notice of death of the following-named employee:

Name, Joseph H Upton — Position, Keeper

Legal residence, Maine — Station, Cape Elizabeth LH., Me.

Salary, $1620 per annum

~~The acceptance of this resignation is recommended effective at the close of~~

This employee has not taken leave in excess of the accrued rate.

Remarks: Death occurred at about 5 a.m., Sunday, January 14, 1934. Mr. Upton had gone to install the Aladdin auxiliary light in tower upon failure of commercial electric current at about 9.30 p.m., Saturday, January 13, and when he had not returned at 11.30 p.m., Mrs. Upton went to see what what the trouble, and found his body. He had evidently fallen down the stairs and suffered a fracture of the skull. He died without having regained consciousness. Further report will be submitted when more details are learned.

Next of kin: Mrs. Mabelle S Upton, widow, Cape Elizabeth LH., R. F. D., South Portland, Maine.

For and in the absence of the Superintendent:

LAURITZEN
Chief Clerk

BUREAU OF LIGHTHOUSES

WASHINGTON

JAN 23 1934

Submitted to the Secretary of Commerce,

11—4623

Submitted to Secretary of Commerce for his information.

For the Commissioner,

Chief, Personnel Division